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Centre for Integrative Biology

Resolution estimation, cryo-EM map interpretation & Atomic model refinement into cryo-EM maps

Master M2S3 level, Brazil school & ISB workshop, Oléron school, Freiburg Structural Biology School Bruno Klaholz,

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Summary

Structure determination by single particle cryo-EM, overview:



- structure refinement



- centering/alignment
- variance analysis + classification
- angle assignment
- angular reconstitution \rightarrow 3d-reconstruction
- reprojections = new references

"phase/put in register" the particles by aligning/classifying them

- improve quality of angle assignment
- improve quality of particle alignment



equally distributed forward-projections (re-projections)



- resolution assessment

Fourier Shell Correlation (FSC)



Keep in mind: resolution is what you can resolve in the 3D map!

- resolution assessment



Cross correlation is calculated in Fourier space as a function of frequency (i.e. resolution shells)

Fourier Shell Correlation (FSC): van Heel et al., 1982, Saxton & Baumeister, 1982.



- resolution assessment



Rosenthal & Henderson, 2003 (0.143 criterion) van Heel & Schatz, 2005 (1/2 bit criterion)



- resolution assessment



- be generally careful with fixed threshold criteria
- masking can give artefacts at high frequencies
- maximum resolution possible ~2/3 Nyquist (unless over-sampling is used)



- resolution assessment



calculate cross-correlation

by shells in Fourier space

Due to the *Nyquist-Shannon theorem* and interpolation effects a 3D reconstruction can have a maximum resolution without distortions of ~2/3 Nyquist frequency, beyond this limit high-frequency components can be present but may be distorted



Keep in mind: resolution is what you can resolve in the 3D map!

- resolution assessment



calculate cross-correlation

by shells in Fourier space

Careful with artefacts: too tight masking (mask correlates with itself, gives high correlation at high frequencies, i.e. the curve goes up); careful with correlated noise at frequencies, use phase randomization (e.g. Relion postprocessing)



Keep in mind: resolution is what you can resolve in the 3D map!

Some basic concepts of cryo electron microscopy

Basic aspects:

- "resolution" corresponds to "spatial frequency" in image processing (1/ Å)
- Nyquist frequency is = 1 / (2 x pixel size), e.g. 1 Å / pixel \rightarrow Nyquist = 1 / (2 Å)
- interpolations during 2D image alignment and 3D reconstruction limit the (exception: possible resolution to about 2/3 of the Nyquist frequency, i.e. here \sim 3 Å super-reso pixels in 3D: "voxel"

Consider:

- any correlation calculation (e.g. alignment) is <u>biased</u> by the reference used
- resolution estimation, criteria used:
 - 0.5, arbitrary, historically from the virus field, tends to underestimate resolution
 - 0.143 (Henderson) and ½ bit (van Heel)
 - 3 σ , not used anymore (over-estimation; useful for noise estimation)
 - features in the map: can we see dsRNA helices (~10-12 Å resolution), α-helices (~8 Å), β-sheets (~5 Å) or side chains (4-2.5 Å, depending on size)?



Local resolution analysis



Some softwares: Bsoft (Heymann *et al.*, 2007) ResMap (Kucukelbir *et al.*, 2014) MonoRes (Vilas *et al.*, 2018) Phenix (d99, phenix.autosharpen) Afonine *et al.*, *Acta Cryst. D*, 2018.

Local resolution can be different from average resolution (FSC) due to structural disorder

- map interpretation



- map interpretation ; fitting of crystal or NMR structures

Fitting procedures:

- manual fitting (e.g. Coot, Emsley et al., 2010)
- real space fitting
- reciprocal space fitting
 - 1) global search
 - 2) refinement
 - e.g. torsion-angle molecular dynamics
 - fit complete structures, domains, factors;
 - Usually backbone is enough.
 - rigid body or flexible fitting
 - use full maps or difference maps

Be careful with local minima and over-fitting!



II. Atomic model building into cryo-EM maps



II. Atomic interpretation of cryo-EM maps



- map interpretation



Orlov et al., EMBO J. 2012.Maletta et al., Nature Communications, 2014.Fitting of crystal structures from the various protein domains



Instrumentation & technical highlights towards multi-scale integration



100 kDa nuclear receptor complexes: RXR/VDR/DNA & USP/EcR/DNA (on film or CCD camera, several years before CMOS cameras)

Orlov et al., EMBO J. 2012.

Maletta et al., Nat. Commun., 2014.

Important: medium-resolution maps give you the overall topology and conformational state, which are not a matter of resolution; i.e. filter a map to see the global domains etc.

Map interpretation, structure determination, atomic model building

Fitting procedures:

- manual fitting (e.g. O, Coot, Pymol, Chimera...)

- 1) global search
- 2) refinement
- At ~8-20 Å resolution:

- fit complete structures, protein or RNA domains, factors; usually backbone is enough.
Rigid body or flexible fitting (e.g. Situs, MDFF, Flex-EM, iMODfit, ...)

- real space fitting

- reciprocal space fitting

- use full maps or difference maps

At ~3-5 Å resolution:

- atomic model building: start with poly-Ala model, check register (position of Cα atom), check secondary structure elements (e.g. direction of α-helices), refine with crystallography programs (CNS, Buster, Phenix, CCP4,...), add side-chains if clearly visible,

use information from multi-sequence alignments; check geometry with Ramachandran plot

In general: be careful with local minima and over-fitting/over-interpretation!



modelling





Structure determination at ~ 3 Å resolution by single particle cryo-EM





 \rightarrow local resolution can be notably better than average resolution

cryo-EM map & fitted atomic model







Khatter et al., Nature, 2015.

II. Atomic interpretation of cryo-EM maps



Strong heterogeneity of a reconstituted eukaryotic translation initiation (eIF5B) complex: sorting → 5143 particles, representing 3% of the population in the sample, 6.6 Å reconstruction. Fernández *et al.*, Science 2013; V. Ramakrishnan & S. Scheres.



Instrumentation & technical highlights towards multi-scale integration





Biology of the Cell, 2017.



Atomic model building examples in cryo-EM

Rotavirus VP6 cryo-EM structure; 3.8 Å resolution; α-helices, β-sheets, bulky side-chains;

Individual stands in the β -sheet region are separated, loops connecting the strands are defined.

Near-atomic-resolution cryo-EM for molecular virology.



Hryc CF, Chen DH, Chiu W. Curr Opin Virol. 2011.

Atomic model building examples in cryo-EM

high-resolution features: right-handed protein and DNA/ARN helices!



Rotavirus VP6 cryo-EM structure; 3.8 Å resolution; α-helices, β-sheets, bulky side-chains;

Individual stands in the β-sheet region are separated, loops connecting the strands are defined. Near-atomic-resolution cryo-EM for molecular virology. Hryc, Chen, Chiu W., *Curr Opin Virol*. 2011.

See also tools from Wah Chiu lab on atomic model building: Curr. Op. Struct. Biol. 2015



Atomic model building examples in cryo-EM



Rotavirus VP6 cryo-EM structure; 2.6 Å resolution; side-chains are defined.

optimize exposure dose to select movie frames

Grant, T., Grigorieff, N., eLife, 2015.



Atomic model building: combining cryo-EM and X-ray crystallography refinement procedures;

Validation of atomic models derived from cryo-EM maps: d₉₉ to estimate resolution, phenix.autosharpen, phenix.mtriage

Afonine et al., Acta Cryst. D, 2018.





Natchiar et al., Nature Exchange Protocol, 2017.

Atomic model building & refinement into cryo-EM maps:

Consider:

- in contrast to crystallography, the map is not modified during the atomic model refinement, because:

- the cryo-EM map is similar to a experimentally phased crystallography map (e.g. SAD, native sulfur phasing etc.)

- in other words, the quality of the cryo-EM map does not depend on the quality of the atomic model

- if during atomic model refinement one notices gaps or connectivity issues in the map, then this may indicate that the cryo-EM structure refinement (i.e. the 3D reconstruction refinement) is not good/finshed yet (e.g. inappropriate filtering / postprocessing. i.e. too much removal of low frequencies or overweighting of high frequencies; density distortions coming from preferential views; CTF estimation incorrect at high frequencies, weak CTF/thick ice, etc.)



Quality of the geometry of the atomic model

Data Collection	
Particles	139,234
Sampling (Å/pixel)	0.85
Defocus range (µm)	-0.4 to -2.5
Atomic model composition	
Non-hydrogen atoms	219,591
Amino acids	11,729
Nucleotides	5,863
Number of ligand atoms	75
$Zn^{2+}/Mg^{2+}/H_2O$	8 / 400 / 60
Refinement	
Average resolution (Å)	2.9 (60S) / 3.0 (40S body) / 3.1 (40S head)
Average B-factor (Å ²)	64.4
RMS Deviation	
Bond lengths (Å)	0.009
Bond angles (°)	1.057
Ramachandran statistics	
Favoured (%)	90.38
Allowed (%)	9.36
Outliers (%)	0.26 Natchiar <i>et al.</i> , <i>Nature</i> 2017.

