

- 1) Short summary of general concepts
- 2) Single particle image processing and 3D reconstruction
  - $\rightarrow$  getting prepared for the practicals

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# **Electron microscopy: application examples - Summary**

Negative staining	<b>2D observation</b> + 3D reconstruction
Spreading	2D observation only
Shadowing	2D observation only
<u>Cryo-EM</u>	(2D observation +) <b>3D reconstruction</b>
2D crystals	(2D observation +) <b>3D reconstruction</b>
Tomography of cellular structures	(2D observation +) 3D reconstruction
Freeze-fracture	2D observation only

# The importance of cryo-approaches

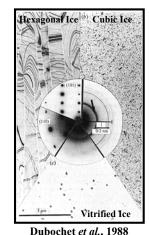
Vitreous ice:

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forms by flash-cooling, is metastable and converts to crystalline ice modifications: - cubic ice, forms when vitreous ice is warmed up above -135°C → keep samples below ~-135°C - hexagonal ice, forms when water is (relatively slowly) cooled down at atmospheric pressure (is typical source of contamination in cryo-EM)

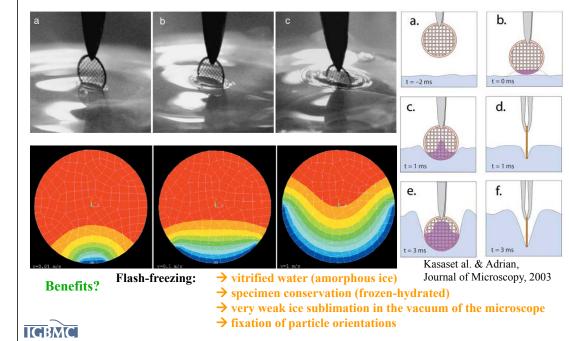
cooling rate required to obtain vitreous ice: ~104 K / s

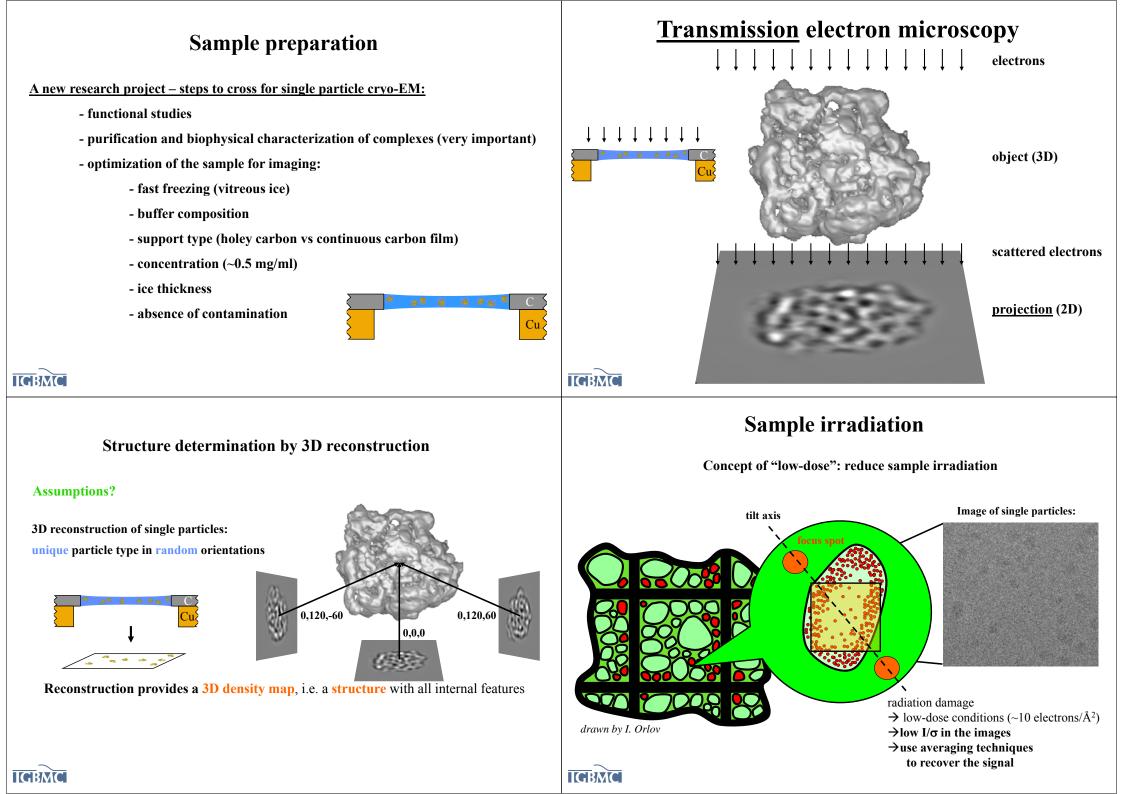
Boiling and melting points of liquid ethane: -88.7 °C / -183.3 °C,



temperature of liquid nitrogen: -196 °C phase diagram of water Normal liquid water Supercooled water No mans land HDA Glassy Water Dress, 26, MPe 30 http://www1.lsbu.ac.uk/water/amorph.html IGBMC

# The importance of cryo-approaches in cryo-EM





**Requirements for high-resolution data collection and structure determination:** 

- optimization of the sample for imaging (ice quality)
- optimal imaging conditions (beam alignment, parallel light)
- specimen stability (no drift)
- environment: low magnetic fields, weak vibrations, weak acustic noise, stable temperature
- image data collection & quality assessment
- pre-processing (particle selection, CTF-correction)
- large data sets
- high-resolution image processing procedures for structure determination / refinement
- strong & dedicated computing resources

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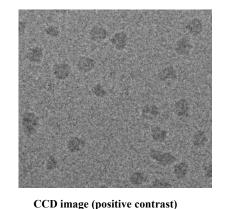
Single particle image processing and 3D reconstruction.

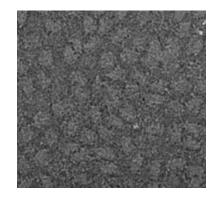
- I. Pre-processing
- Digitization of micrographs (negatives); not needed if CCD images
- particle selection, « boxing »
- correction of the contrast transfer function
- band-pass filtering and normalisation of particle images
- **II. Structure determination**
- particle centering / alignments
- MSA (multivariate statistical analysis) + classification
- angle assignment
  - angular reconstitution
  - projection matching
- 3D reconstruction (first map)
- structure refinement
- resolution assessment
- map interpretation; fitting of known structures, <u>atomic model</u> building...

I. Pre-processing

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- Digitization of micrographs (negatives); not needed if CCD/CMOS images

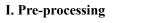




micrograph (negative contrast)

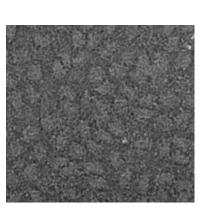


# Single particle image processing and 3D reconstruction.



- Digitization of micrographs (negatives)

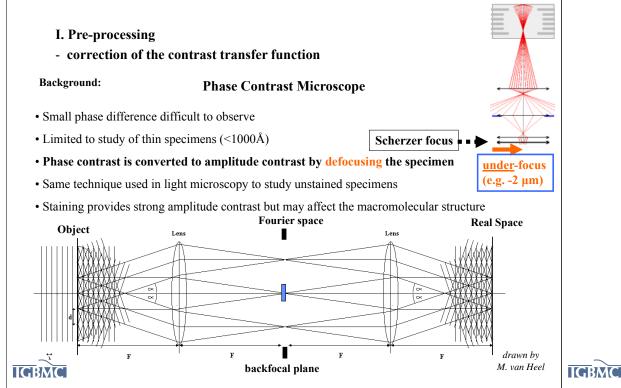




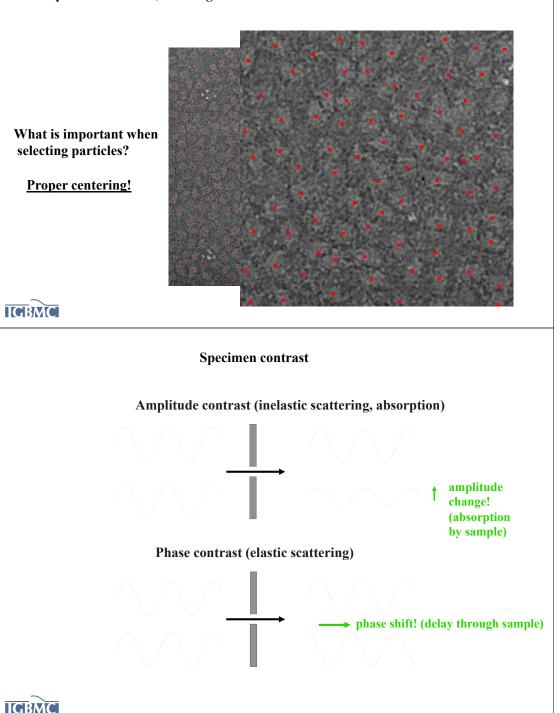
high-resolution scanner (5000 dpi)

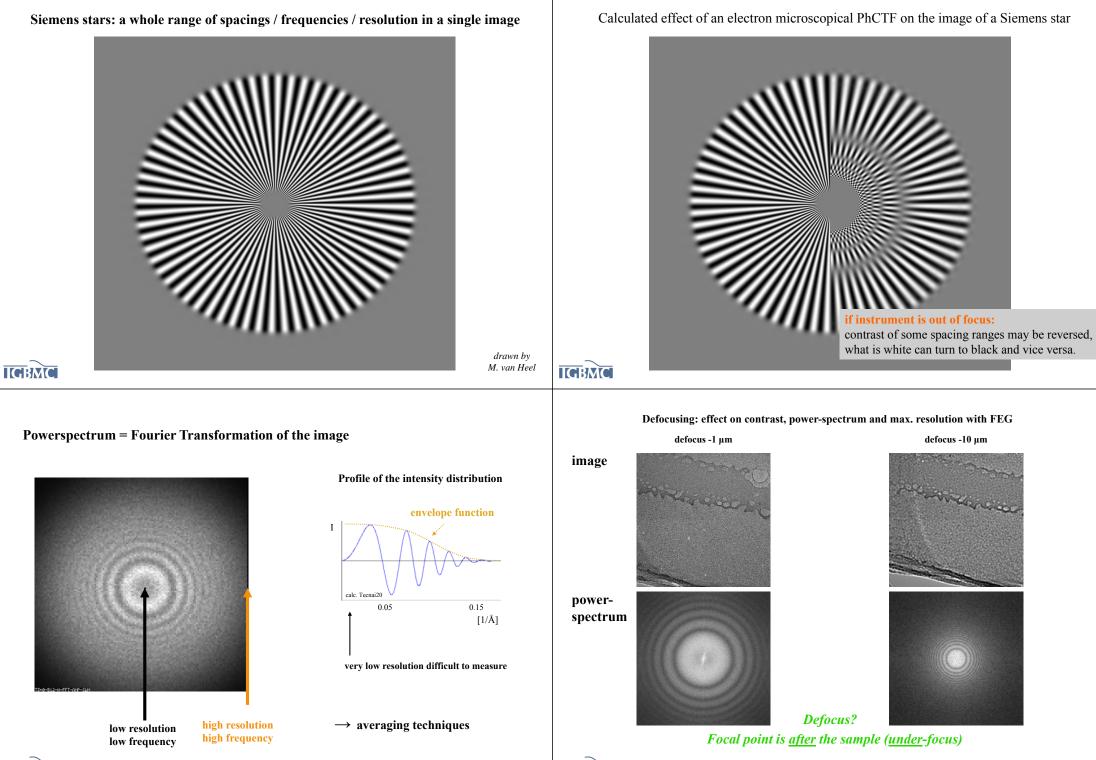
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Sampling = Pixel size / Magnification 5 μm / 50 000 = 1 Å / pixel at specimen level



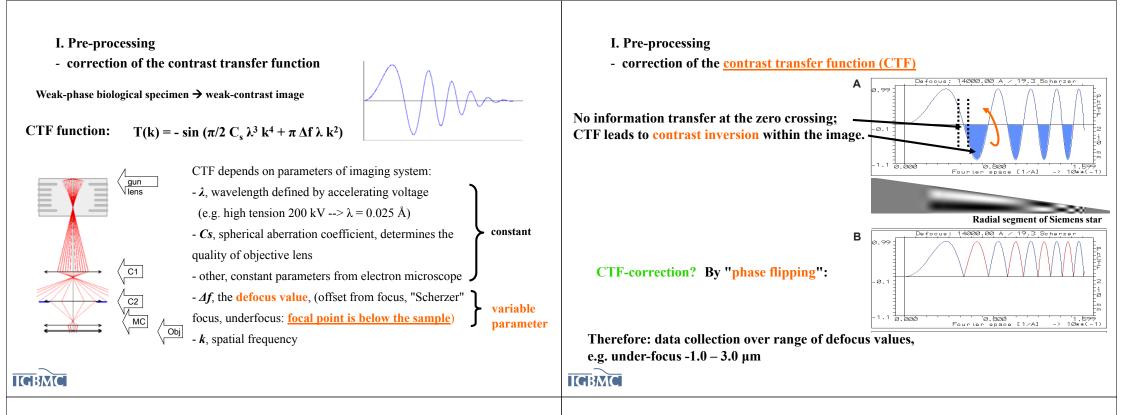
I. Pre-processing - particle selection, « boxing »



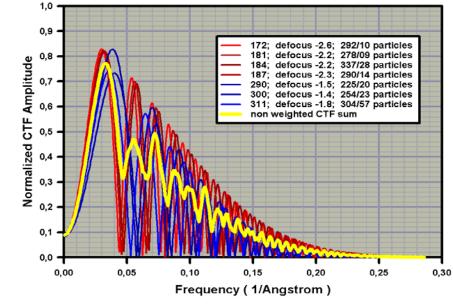


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Images: continuous carbon area from holey carbon grid, cryo, Tecnai20, CCD 2Kx2K, 1024 pixel mode



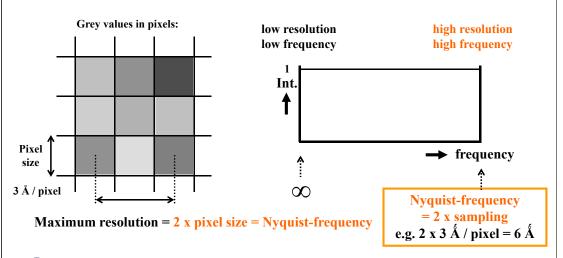
# Combination of powerspectra from different defocus images



#### I. Pre-processing

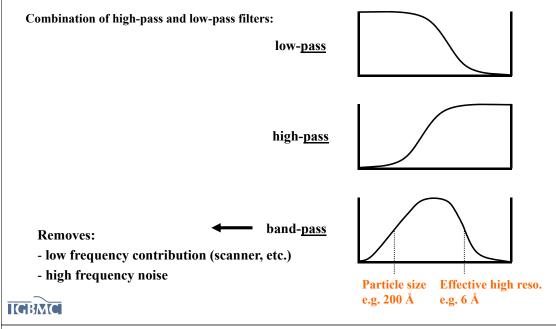
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- band-pass filtering and normalisation of particle images





- I. Pre-processing
- band-pass filtering and normalisation of particle images



Single particle image processing and 3D reconstruction.

- I. Pre-processing
- Digitization of micrographs (negatives); not needed if CCD images
- particle selection, « boxing »
- correction of the contrast transfer function
- band-pass filtering and normalisation of particle images

## II. Structure determination

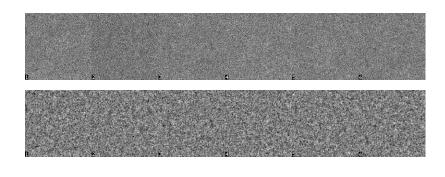
- particle centering / alignments
- MSA (multivariate statistical analysis) + classification
- angle assignment
  - angular reconstitution
  - projection matching
- 3D reconstruction

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- structure refinement
- resolution assessment
- map interpretation ; fitting of know structures, atomic model building...

- I. Pre-processing
- band-pass filtering and normalisation of particle images

Effect of bandpass filter:



#### **Removes:**

- low frequency contribution (scanner, etc.)
- high frequency noise

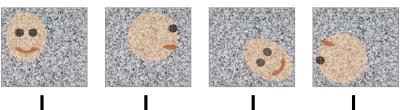
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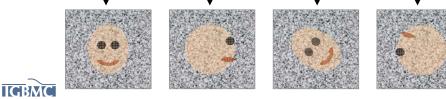
- II. Structure determination
- particle centering / alignments

"reference-free" alignment (if structure unknown) (or multiple reference alignment, if similar structure already known)

# Translational Alignment

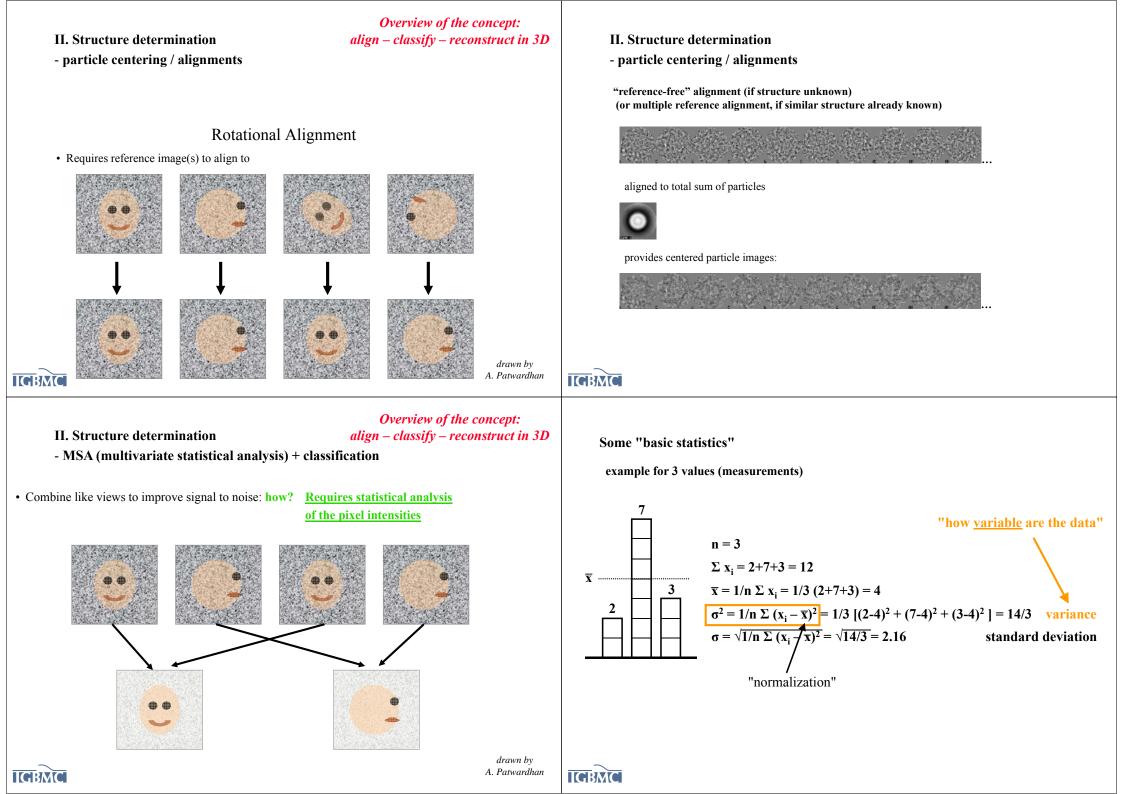
• Requires reference image(s) to align to



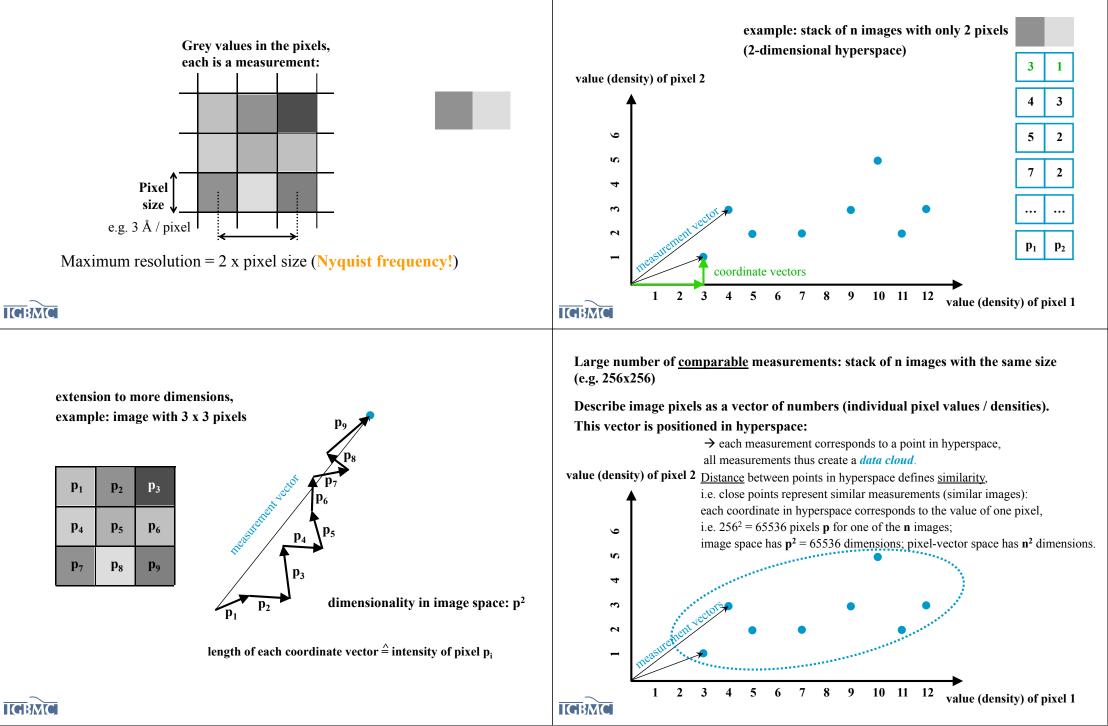


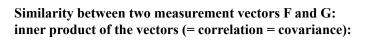
drawn by A. Patwardhan

Overview of the concept: align – classify – reconstruct in 3D









$$C_{FG} = 1/p \Sigma F_a \cdot G_a$$

$$C_{FF} = 1/p \Sigma F_a \cdot F_a = 1/p \Sigma F_a^2$$
 variance a

e.g. unit cube:

Euclidian square distance:

 $D_{FC}^2 = \Sigma (F_a - G_a)^2$ 

$$d = \sqrt{1^2 + 1^2 + 1^2} = \sqrt{3}$$

a = 1, p

covariance

2

= 1, p

 $a^{1} = 1, p^{3}$ 

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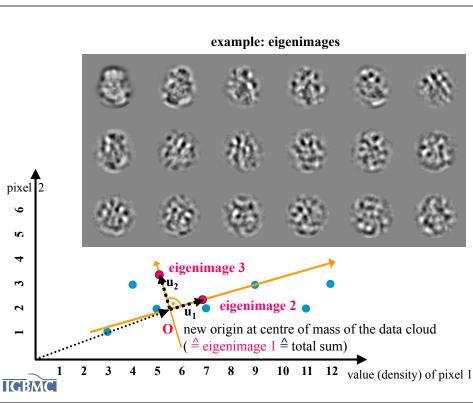
$$= \Sigma F_a^2 + \Sigma G_a^2 - 2\Sigma F_a \cdot G_a$$

(variances in F and G) minus (2 · correlation between F and G): short distance means high correlation

#### PCA metric (principal component analysis)

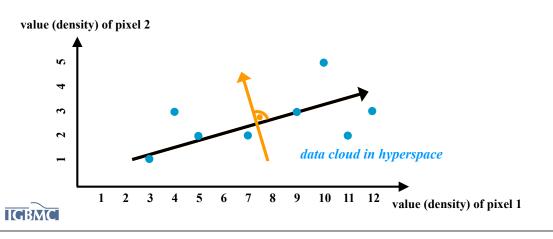
```
[for comparison: discrete correlation function: C_{FG} = \Sigma F_a \cdot G_{(a-x)}, with shift x; cross-correlation coefficient CCC = \Sigma F_a \cdot G_a / \text{sqr} (\Sigma F_a^2 \cdot \Sigma G_a^2)
```

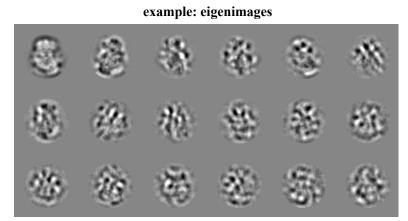




### Aim of MSA: adapt the coordinate system of the hyperspace to the shape of the data cloud. First axis of the rotated coordinate system will correspond to the largest elongation of the data cloud, i.e. highest variance; the next (orthogonal) axis corresponds to the <u>next-strongest</u> variance.

**Data reduction**: <u>use first few components</u> that describe the strongest variations / main components; reduction of dimensionality: e.g. 20 or 50 instead of  $p^2$  (here 65536): gain factor >1000; reduction of noise: by omitting higher components which describe only variance in noise; main directions of variations: given by <u>eigenvectors</u>





example: first 18 eigenimages of a data set

The entire data set can be reconstituted from a <u>linear combination of the eigenimages</u>, or simply approximated by a small subset of eigenimages (data reduction!)

$$\mathbf{a} \cdot \mathbf{u}_1 + \mathbf{b} \cdot \mathbf{u}_2 + \dots \mathbf{u}_n$$

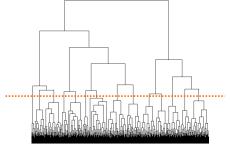
#### **II. Structure determination**

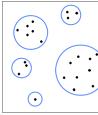
- MSA (multivariate statistical analysis) + classification

multivariate statistical analysis (MSA), related to principal component analysis: data set can be represented as a <u>linear combination of images</u>, each describing the highest differences within the data

 $\rightarrow$  data compression

 $\rightarrow$  images with statistically similar pixel intensity distribution can be grouped = classified into groups of images describing similar views of the 3D object



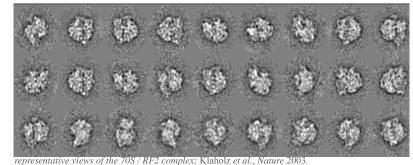


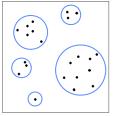
hierarchical ascendant classification

### Correct terms are important:

- A classification is based on a statistical analysis:
- multivariate statistical analysis (MSA) provides information on variance
- (variability) which serves to merge similar images into class averages (classes);
- is independent of a reference
- classes *are NOT*: the sum of images that correlate best with a reference (through a multi-reference alignment)

- **II. Structure determination**
- MSA (multivariate statistical analysis) + classification
- signal enhancement after
- classification by MSA
- hierarchical ascendant classification
- averaging of particles representing same views into class averages:





Average the images of each class

Typical <u>class averages</u> of ribosome particle images

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#### Some references

- L. Borland & M. van Heel; Classification of image data in conjugate representation spaces, *J. Optic. Soc. Am.* A, 7 (1990) 601-610.

- M. van Heel *et al.;* Single-particle cryo electron microscopy: towards atomic resolution; Quart. Rev. Biophys. 33 (2000) 307-369.

- M. van Heel, Multivariate Statistical Classification of Noisy Images (Randomly Oriented Biological Macromolecules) *Ultramicroscopy* 13 (1984) 165-183.

- M. van Heel, Classification of very large electron microscopical image data sets, *Optik* 82 (1989) 114-126.
- E.R. Malinowski, Factor Analysis in Chemistry, 3rd ed. (2002)
- Benzécri J.-P., L'Analyse des Données Vol 2, L'analyse des correspondances (1973-1980) Dunod Paris.
- Frank J: Three-Dimensional Electron Microscopy of Macromolecular Assemblies, Oxford University Press (2006).
- van Heel M, Frank J: Use of multivariate statistics in analyzing the images of biological macromolecules, *Ultramicroscopy* 6 (1981) 187-194.
- van Heel M: Multivariate Statistical Classification of Noisy Images (Randomly Oriented Biological Macromolecules), *Ultramicroscopy* 13 (1984a, )165-183.

- Ward JH: Hierarchical grouping to optimize an objective function. *J. Amer. Statist. Assoc.* 58 (1982) 236-244.





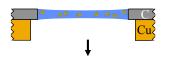
## What can we apply MSA to?

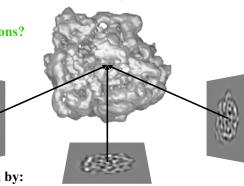
- 2D classification (reference-free alignment: only centered data, not rotationally aligned)
- alignment by classification (alignment against class averages or a typical eigenimage)
- analysis of symmetry (through symmetry in the eigenimages)
- local MSA (focus on an area with high structural variability)
- re-classification of class averages belonging to an object view
- size-classification (e.g. White et al., J. Mol. Biol. 336 (2004) 453-460).
- 3D classification of structures (separation of mixed particle populations): particles:3D-SC, sub-tomograms
- classification of powerspectra (sorting of defocus classes)
- Important to do before MSA:
- normalisation
- filtering
- centered data (aligned if for structure refinement)
- define MSA area: MSA mask

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- II. Structure determination
- angle assignment
  - angular reconstitution (in early stage of structure determination)
  - projection matching (if structure already well refined): find best correlation between input image and reference images from 3D re-projections)

3D reconstruction of single particles: assumptions? unique particle type in random orientations





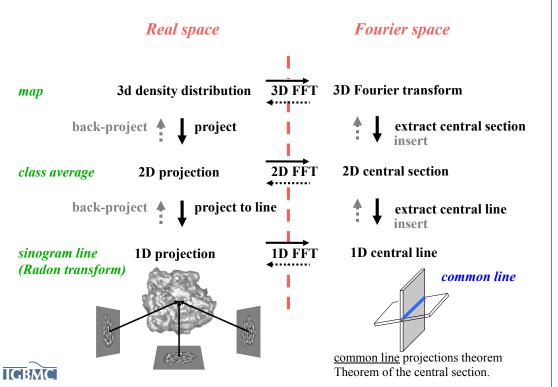
- Reconstruction requires to have angles assign by:
- angular reconstitution (in early stage of structure determination), or
- projection matching (if structure already refined; reference-dependent; bias), or
- maximum likelihood parameter assignment/refinement



# Determining structures of multiple conformational states in a single sample



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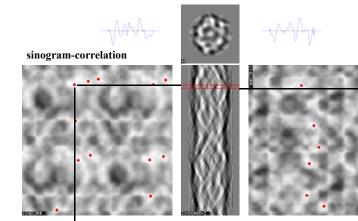
- II. Structure determination
- angle assignment
  - angular reconstitution

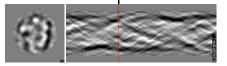


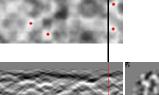
sinogram = line-projection of the 2D image
(also called Radon transform)

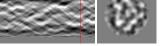
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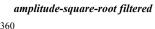
IGBMC

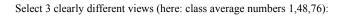


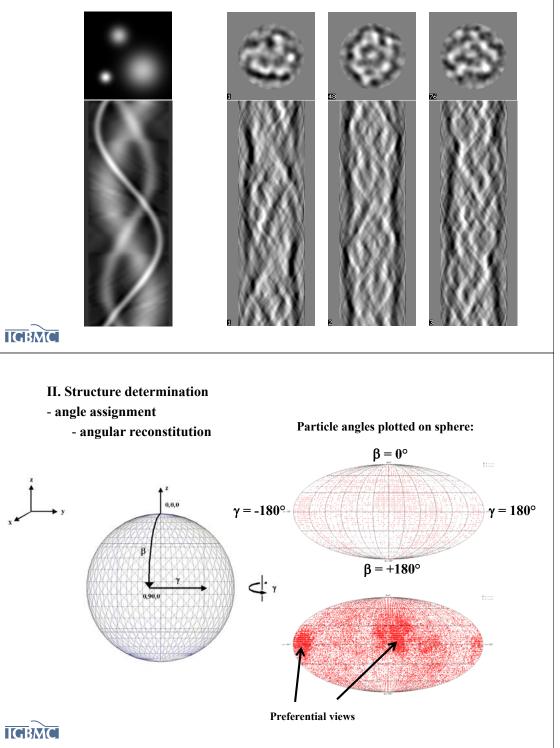












- **II. Structure determination**
- angle assignment
  - angular reconstitution

In case of *ab initio* structure determination by reference-free alignment and angular reconstitution:

Does not allow to determine handedness, requires either:

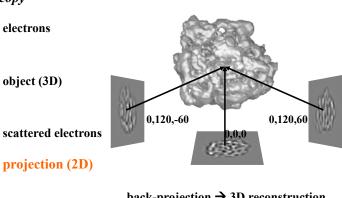
- random conical tilt (Radermacher et al., J. Microsc. 1987)
- tomography
- phase residual error using a tilt pair (Rosenthal & Henderson, JMB 2003)
- fitting of crystal structures
- IGBMC

### **II. Structure determination**

- 3D reconstruction

### Transmission electron microscopy

electrons object (3D) projection (2D)

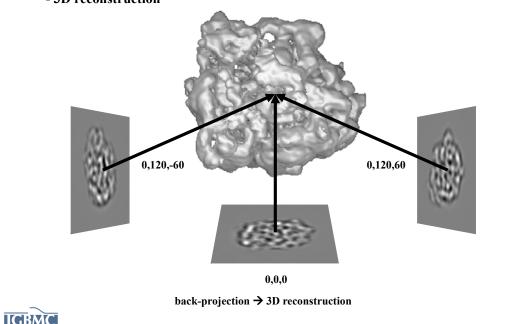


## All internal features in a 2D view!



back-projection  $\rightarrow$  3D reconstruction

### **II. Structure determination** - 3D reconstruction



# Some basic concepts of cryo-EM & 3D reconstruction

### Correct terms are important (be precise and rigorous in science :-)

#### By cryo-EM, we obtain:

- a "3D reconstruction" (initial or refined)
- a "cryo-EM map" or "density map"
- a "structure"

## NOT:

- an "envelope" (would be SAXS or neg. stain. EM)
- a "volume", units would be  $Å^3$  (e.g. volume of a pocket, volume x density = mol. mass)
- a "surface", units would be Å<sup>2</sup> (e.g. interaction surface between 2 proteins)
- a "model", would be a molecular model *fitted to* the map (crystallography/cryo-EM) or a model *compatible with* SAXS data or NMR restraints;

other "models": "homology model", "hypothetical model", "working model"

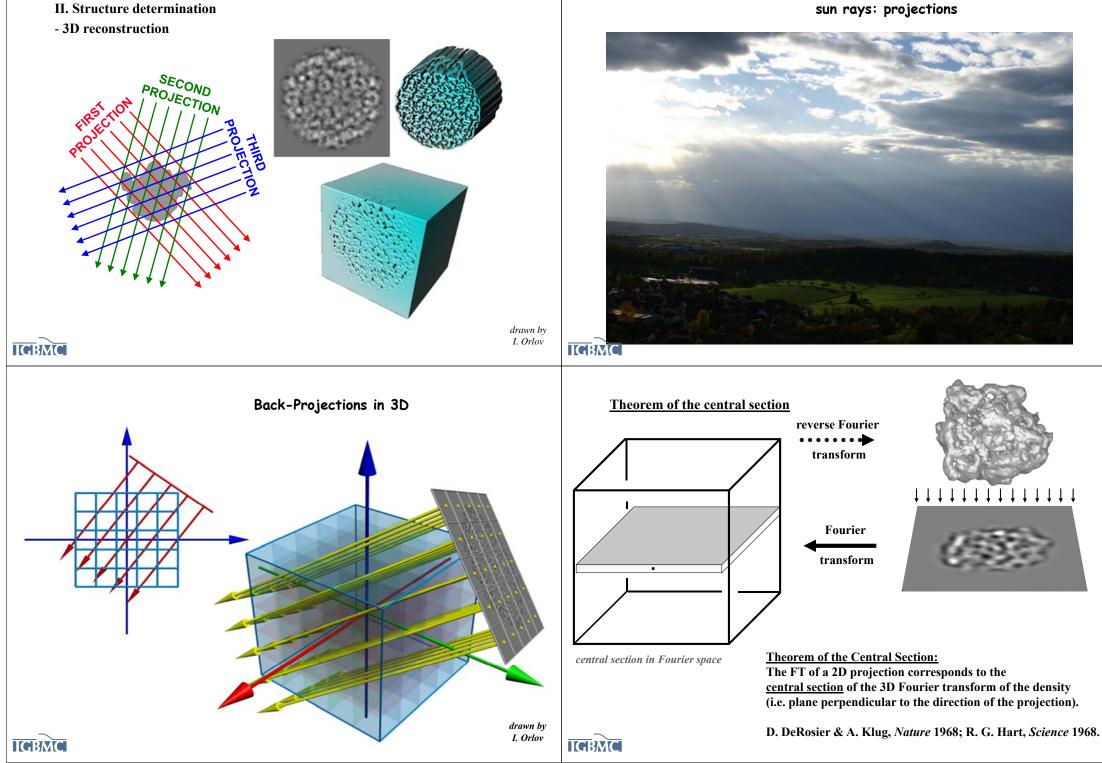
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technically:

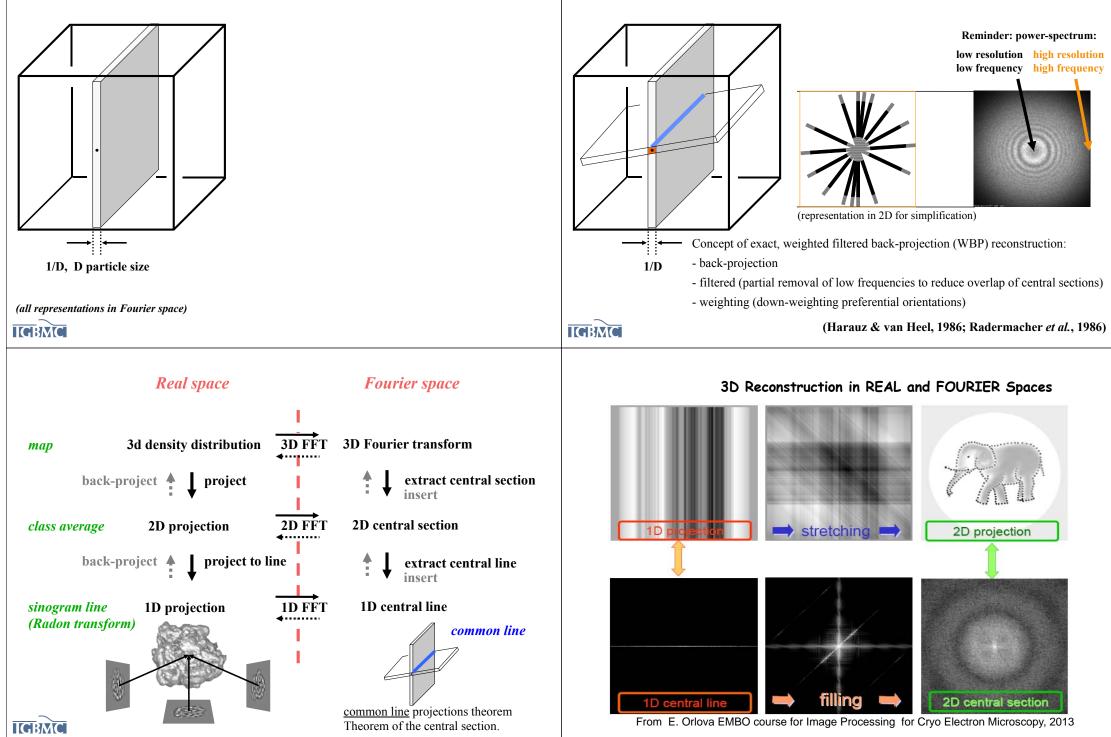
- back-projection
- angular reconstitution
- random conical tilt
- tilt series / tomogram



# sun rays: projections



### 3D reconstruction by feeding in central sections in Fourier space

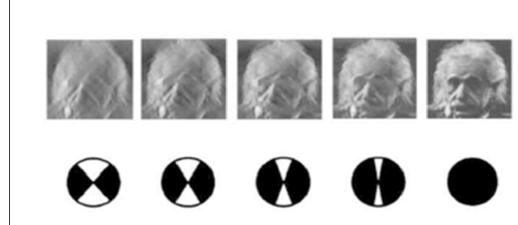


3D reconstruction by feeding in central sections in Fourier space

Back projection of individual images fills the reciprocal space by adding central sections:



central sections in Fourier space

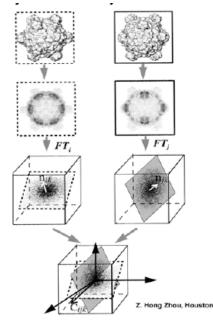


**Filling Fourier space** 

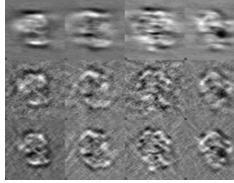
(example from tomography)



### 3D Reconstruction in REAL and FOURIER Spaces



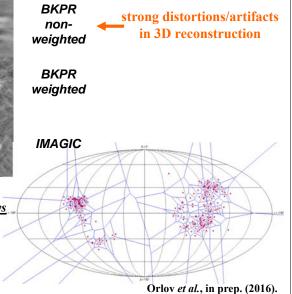
Importance of proper weighting in the case of preferential views

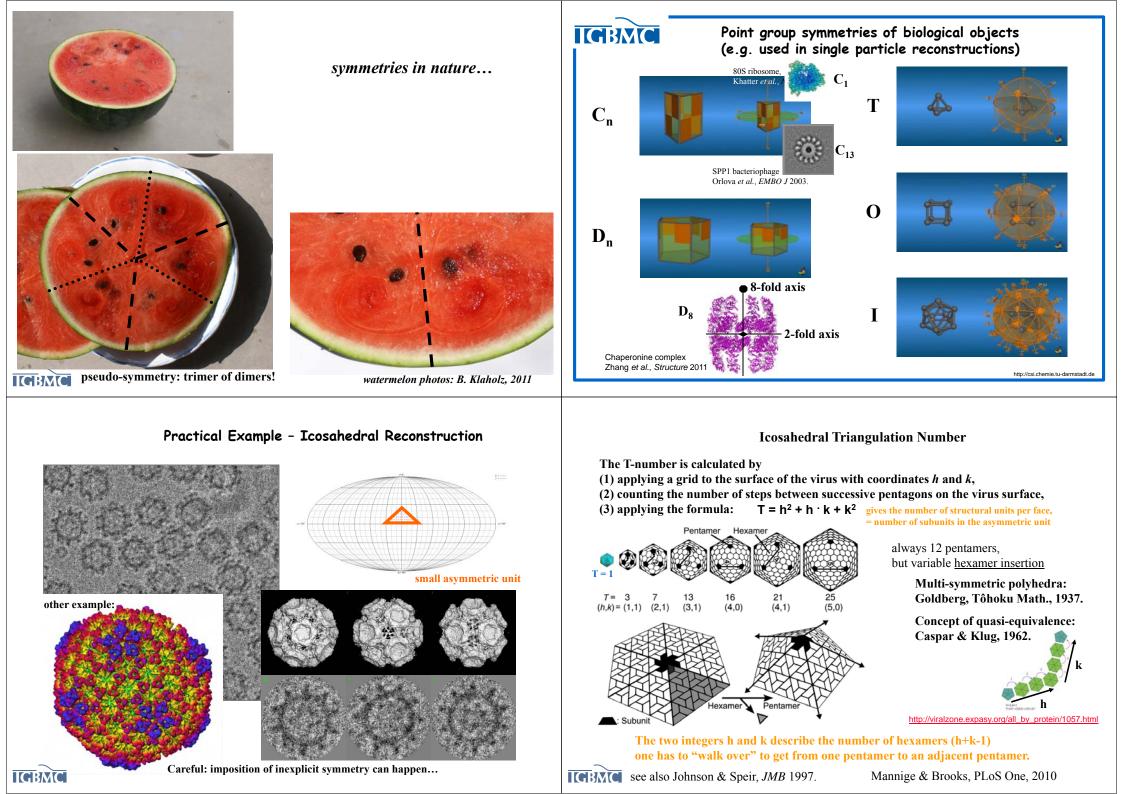


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sections of 3D's calculated from 250 class averages with <u>strong preferential views</u>

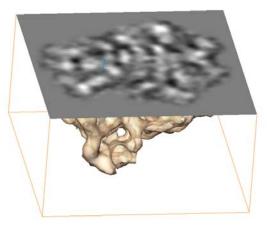




**II. Structure determination** 

- 3D reconstruction

<u>Representing 3D structures</u> as consecutive sections through the 3D structure:



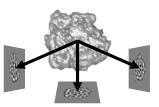
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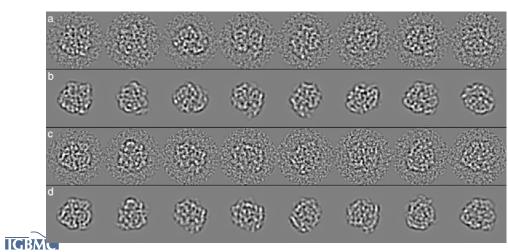
106 H Z GBMC

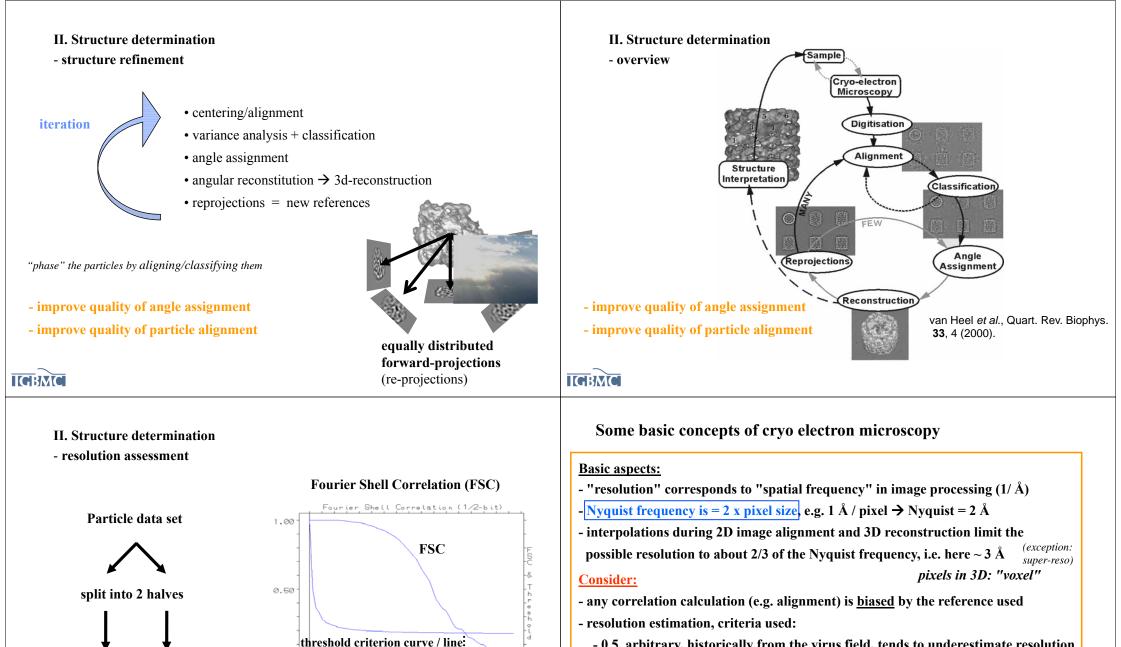
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- **II. Structure determination**
- 3D reconstruction

<u>cross-validation</u> of angle assignment and image quality by comparison with reprojections according to the same angles







- 0.5, arbitrary, historically from the virus field, tends to underestimate resolution
- 0.143 (Henderson) and ½ bit (van Heel)
- $-3\sigma$ , not used anymore (over-estimation)
- features in the map: can we see dsRNA helices (~10-12 Å resolution), α-helices (~8 Å), β-sheets (~5 Å) or side chains (4-2.5 Å depending on size)?

Keep in mind: resolution is what you can resolve in the 3D map!

3D

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calculate cross-correlation

by shells in Fourier space

3D

0.00

0.800 / Resolution [1/A]

 $1 / 1.25 \times 1 / 10 \text{ Å} = 8 \text{ Å}$ 

-> 10\*\*(-1)

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**II. Structure determination** 

- map interpretation

II. Structure determinationmap interpretation ; fitting of crystal or NMR structures

#### **Fitting procedures:**

- manual fitting (e.g. O, A. Jones, Acta Cryst. (1991))
- real space fitting
- reciprocal space fitting

#### 1) global search

#### 2) refinement

e.g. torsion-angle molecular dynamics

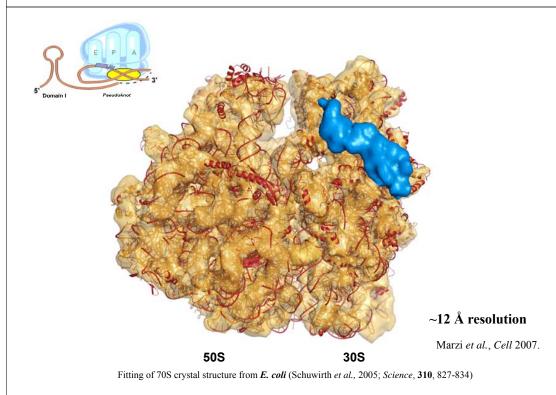
- fit complete structures, domains, factors;

Usually backbone is enough.

- rigid body or flexible fitting
- use full maps or difference maps

Be careful with local minima and over-fitting!

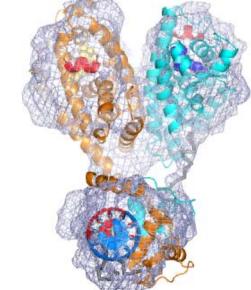
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II. Structure determination

### - map interpretation

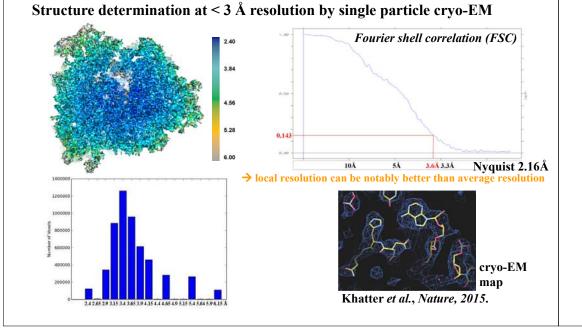
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Orlov et al., EMBO J. 2012.



## Atomic structure of the human ribosome



Some softwares: (for single particle cryo-EM image processing)

- Imagic
- Spider
- Frealign
- EMAN
- XMIPP
- Relion
- Scipion
- ...

Plus other specific software for helical reconstructions, viruses etc.