

- 1) Short summary of general concepts
- 2) Single particle image processing and 3D reconstruction
 - getting prepared for the practicals

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<http://igbmc.fr/Klaholz>

Electron microscopy: application examples - Summary

Negative staining

2D observation + 3D reconstruction

Spreading

2D observation only

Shadowing

2D observation only

Cryo-EM

(2D observation +) 3D reconstruction

2D crystals

(2D observation +) 3D reconstruction

Tomography of cellular structures

(2D observation +) 3D reconstruction

Freeze-fracture

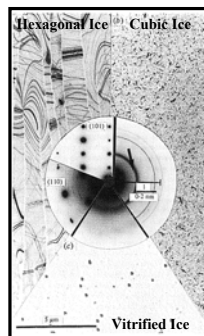
2D observation only

The importance of cryo-approaches

Vitreous ice:

forms by flash-cooling, is metastable and converts to crystalline ice modifications:

- cubic ice, forms when vitreous ice is warmed up above -135°C → keep samples below $\sim -135^{\circ}\text{C}$
- hexagonal ice, forms when water is (relatively slowly) cooled down at atmospheric pressure (is typical source of contamination in cryo-EM)

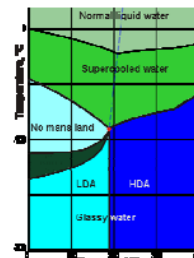


Dubochet et al., 1988

cooling rate required to obtain vitreous ice: $\sim 10^4 \text{ K/s}$

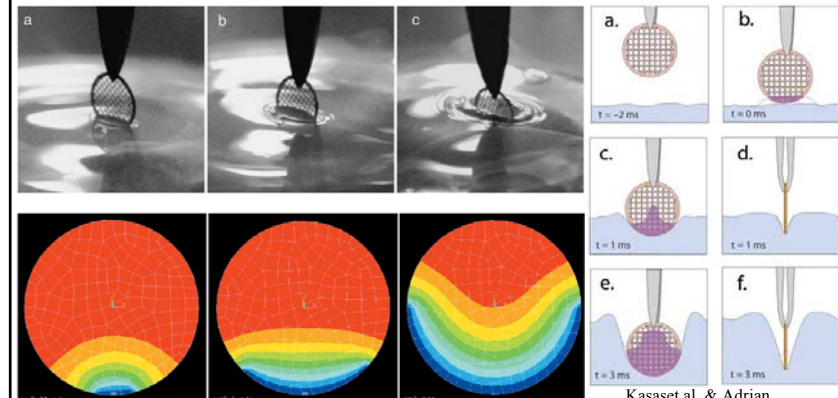
Boiling and melting points of liquid ethane: -88.7°C / -183.3°C ,
 temperature of liquid nitrogen: -196°C

phase diagram of water



<http://www1.lsbu.ac.uk/water/amorph.html>

The importance of cryo-approaches



Benefits?

Flash-freezing:

- vitrified water (amorphous ice)
- specimen conservation (frozen-hydrated)
- very weak ice sublimation in the vacuum of the microscope
- fixation of particle orientations

Kasaset al. & Adrian,
Journal of Microscopy, 2003

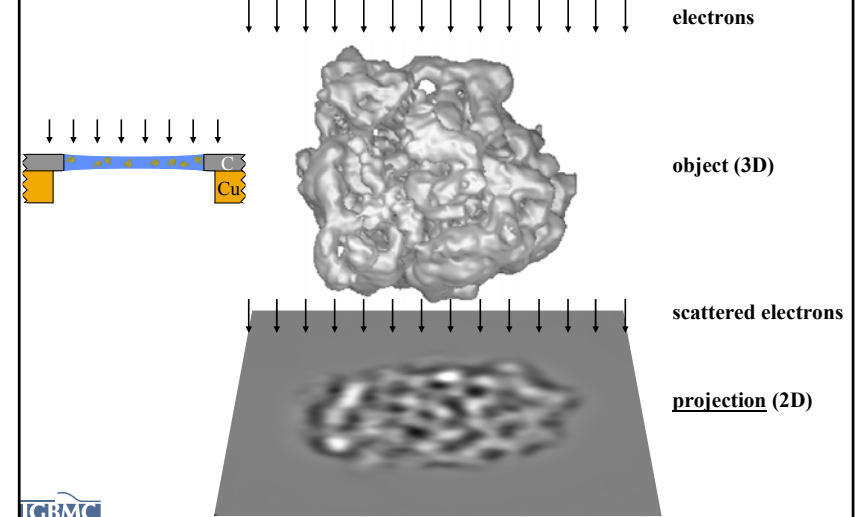
Sample preparation

A new research project – steps to cross for single particle cryo-EM:

- functional studies
- purification and biophysical characterization of complexes (very important)
- optimization of the sample for imaging:
 - fast freezing (vitreous ice)
 - buffer composition
 - support type (holey carbon vs continuous carbon film)
 - concentration (~0.5 mg/ml)
 - ice thickness
 - absence of contamination



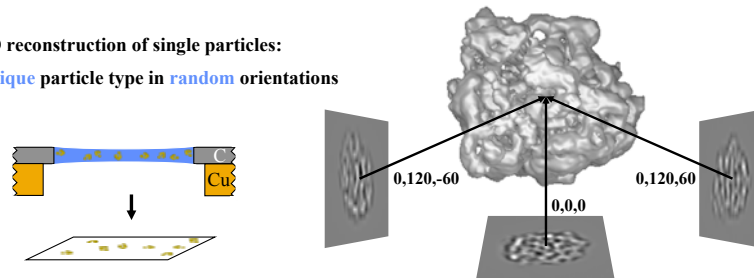
Transmission electron microscopy



Structure determination by 3D reconstruction

Assumptions?

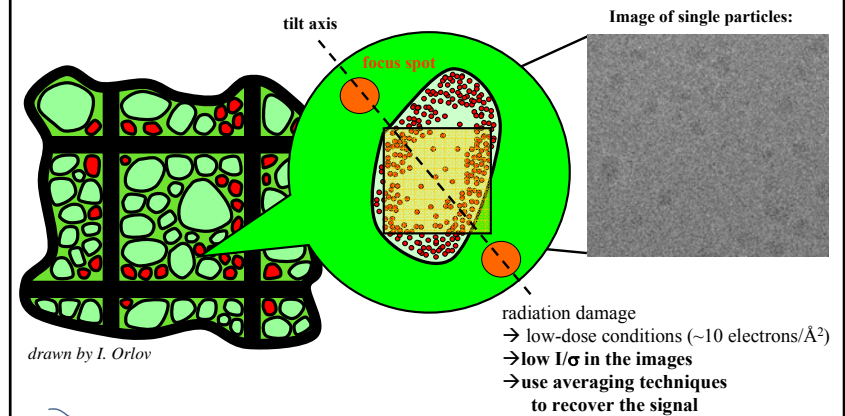
3D reconstruction of single particles:
unique particle type in random orientations



Reconstruction provides a 3D density map, i.e. a structure with all internal features

Sample irradiation

Concept of “low-dose”: reduce sample irradiation



drawn by I. Orlov

Single particle image processing and 3D reconstruction.

Single particle image processing and 3D reconstruction.

I. Pre-processing

- Digitization of micrographs (negatives); not needed if CCD images
- particle selection, « boxing »
- correction of the contrast transfer function
- band-pass filtering and normalisation of particle images

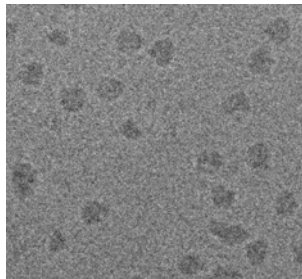
II. Structure determination

- particle centering / alignments
- MSA (multivariate statistical analysis) + classification
- angle assignment
 - angular reconstitution
 - projection matching
- 3D reconstruction
- structure refinement
- resolution assessment
- map interpretation; fitting of known structures, atomic model building...

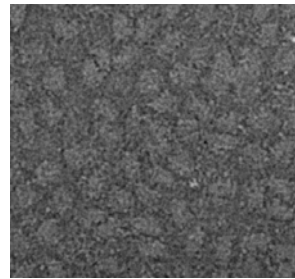


I. Pre-processing

- Digitization of micrographs (negatives); not needed if CCD images



CCD image (positive contrast)



micrograph (negative contrast)

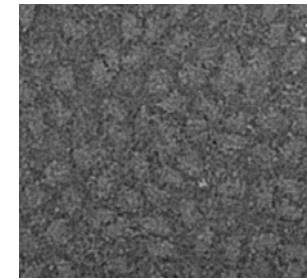


I. Pre-processing

- Digitization of micrographs (negatives)



high-resolution scanner (5000 dpi)

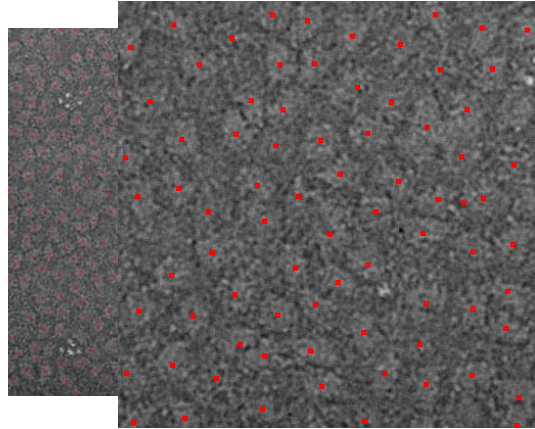


Sampling = Pixel size / Magnification

$5 \mu\text{m} / 50\,000 = 1 \text{ \AA} / \text{pixel}$ at specimen level



I. Pre-processing
- particle selection, « boxing »



What is important when selecting particles?

Proper centering!



I. Pre-processing
- correction of the contrast transfer function

Background: Phase Contrast Microscope

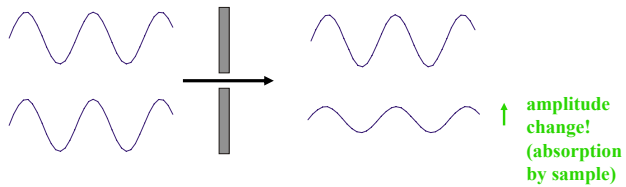
- Small phase difference difficult to observe
- Limited to study of thin specimens (<1000Å)
- Phase contrast is converted to amplitude contrast by **defocusing** the specimen
- Same technique used in light microscopy to study unstained specimens
- Staining provides strong amplitude contrast but may affect the macromolecular structure

Scherzer focus → **under-focus (e.g. -2 μm)**

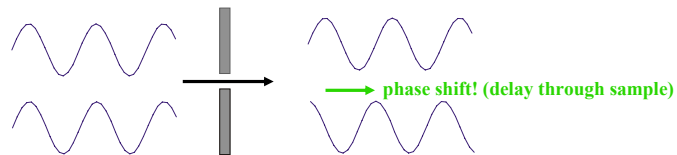
The diagram shows a schematic of a phase contrast microscope. It includes an object, two lenses, and a backfocal plane. The distance between the object and the first lens is labeled 'd'. The focal lengths of the lenses are labeled 'F'. The backfocal plane is indicated by a vertical line. The diagram is credited to M. van Heel.

Specimen contrast

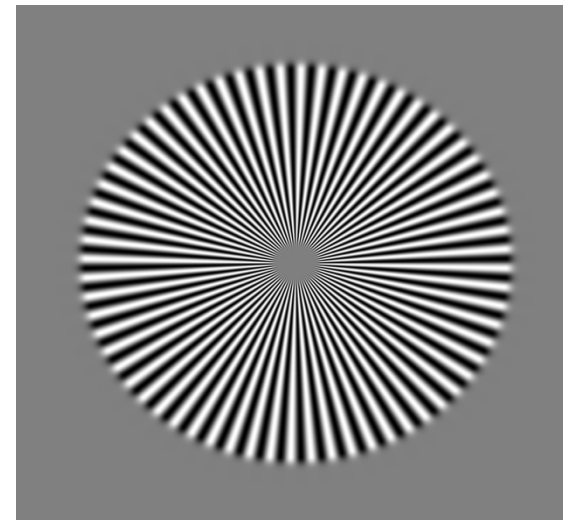
Amplitude contrast (inelastic scattering, absorption)



Phase contrast (elastic scattering)



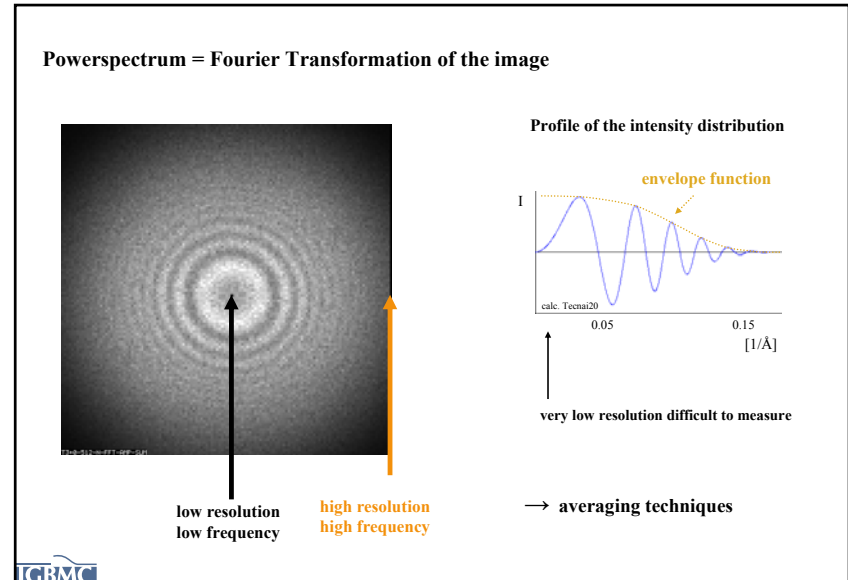
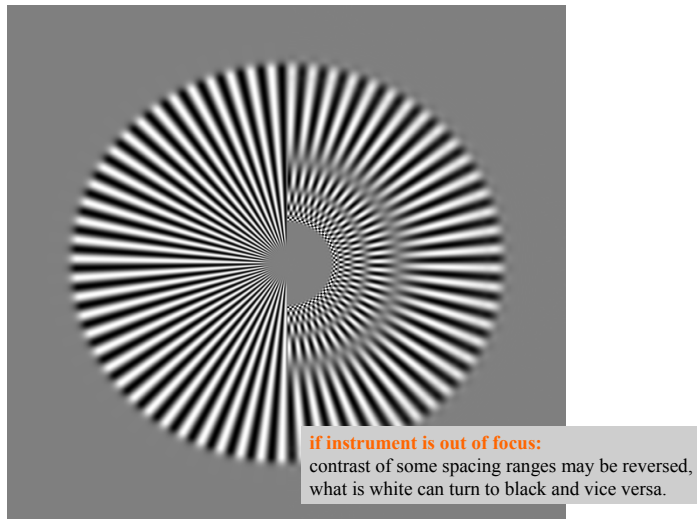
Siemens stars: a whole range of spacings / frequencies / resolution in a single image



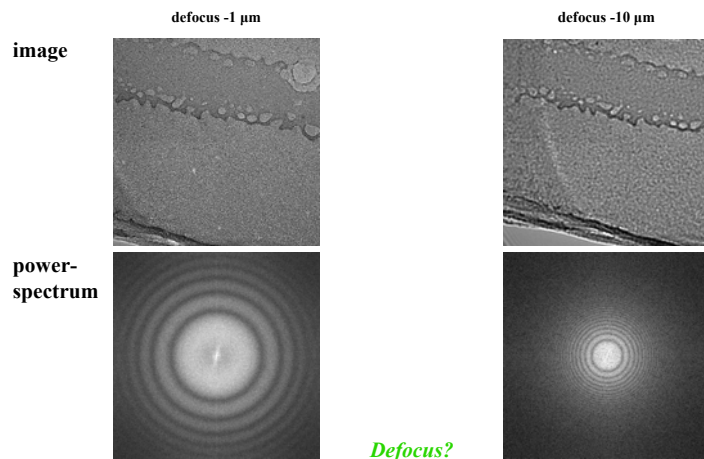
drawn by M. van Heel



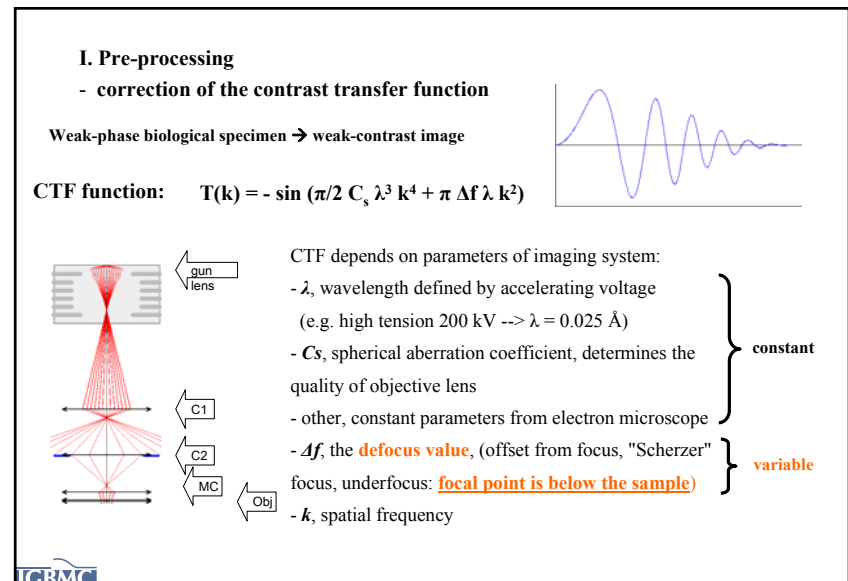
Calculated effect of an electron microscopical PhCTF on the image of a Siemens star



Defocusing: effect on contrast, power-spectrum and max. resolution with FEG



Images: continuous carbon area from holey carbon grid, cryo, Tecnai20, CCD 2Kx2K, 1024 pixel mode



I. Pre-processing

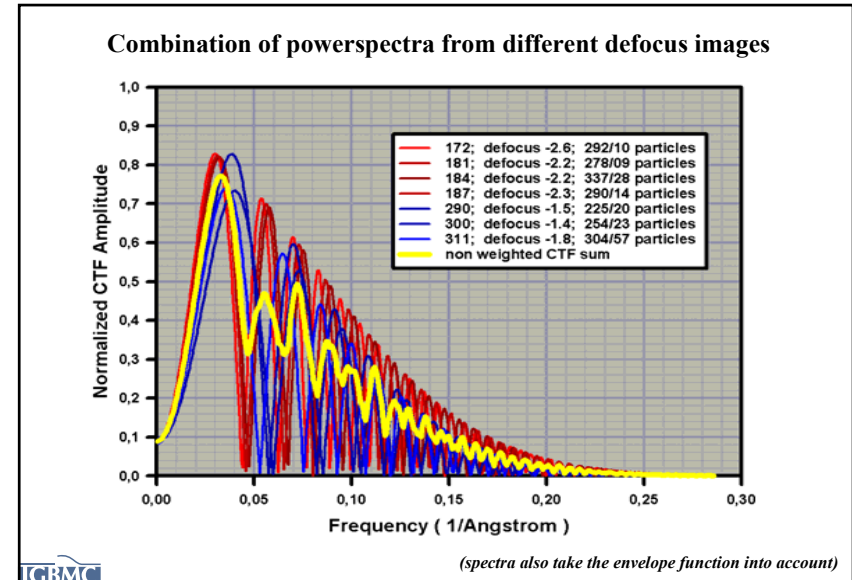
- correction of the **contrast transfer function (CTF)**

No information transfer at the zero crossing;
CTF leads to **contrast inversion** within the image.

CTF-correction? By "phase flipping":

Therefore: data collection over range of defocus values,
e.g. under-focus -1.0 – 3.0 μm

TCBM



I. Pre-processing

- band-pass filtering and normalisation of particle images

Grey values in pixels:

Pixel size: 3 Å / pixel

Maximum resolution = 2 x pixel size = Nyquist-frequency

Nyquist-frequency = 2 x sampling
e.g. 2 x 3 Å / pixel = 6 Å

low resolution low frequency

high resolution high frequency

Int. ↑

frequency →

TCBM

I. Pre-processing

- band-pass filtering and normalisation of particle images

Combination of high-pass and low-pass filters:

low-pass

high-pass

band-pass

Removes:

- low frequency contribution (scanner, etc.)
- high frequency noise

Particle size e.g. 200 Å

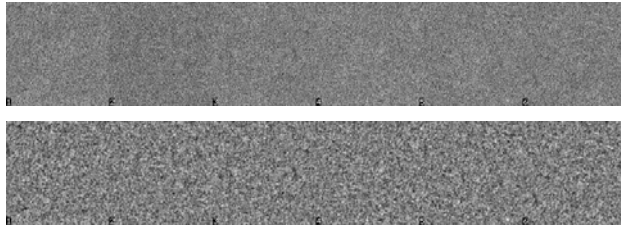
Effective high reso. e.g. 8 Å

TCBM

I. Pre-processing

- band-pass filtering and normalisation of particle images

Effect of bandpass filter:



Removes:

- low frequency contribution (scanner, etc.)
- high frequency noise



Single particle image processing and 3D reconstruction.

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II. Structure determination

- particle centering / alignments
- MSA (multivariate statistical analysis) + classification
- angle assignment
 - angular reconstitution
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- 3D reconstruction
- structure refinement
- resolution assessment
- map interpretation ; fitting of know structures, atomic model building...



II. Structure determination
- particle centering / alignments

*Overview of the concept:
align – classify – reconstruct in 3D*

“reference-free” alignment (if structure unknown)
(or multiple reference alignment, if similar structure already known)

Translational Alignment

- Requires reference image(s) to align to

drawn by
A. Patwardhan

II. Structure determination
- particle centering / alignments

*Overview of the concept:
align – classify – reconstruct in 3D*

Rotational Alignment

- Requires reference image(s) to align to

drawn by
A. Patwardhan

II. Structure determination

- particle centering / alignments

"reference-free" alignment (if structure unknown)
(or multiple reference alignment, if similar structure already known)



aligned to total sum of particles



provides centered particle images:

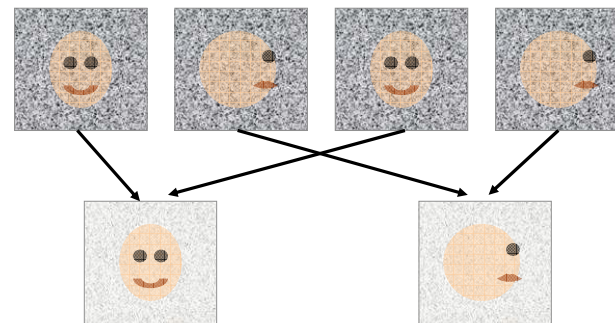


II. Structure determination

- MSA (multivariate statistical analysis) + classification

*Overview of the concept:
align – classify – reconstruct in 3D*

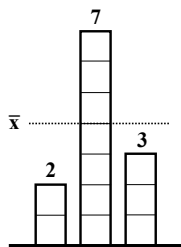
- Combine like views to improve signal to noise: **how?** Requires statistical analysis of the pixel intensities



*drawn by
A. Patwardhan*

Some "basic statistics"

example for 3 values (measurements)



$$n = 3$$

$$\sum x_i = 2+7+3 = 12$$

$$\bar{x} = 1/n \sum x_i = 1/3 (2+7+3) = 4$$

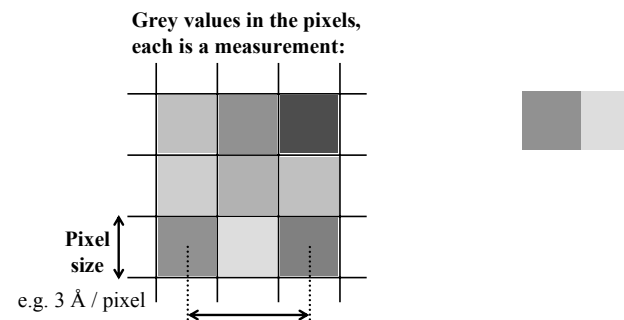
$$\sigma^2 = 1/n \sum (x_i - \bar{x})^2 = 1/3 [(2-4)^2 + (7-4)^2 + (3-4)^2] = 14/3 \quad \text{variance}$$

$$\sigma = \sqrt{1/n \sum (x_i - \bar{x})^2} = \sqrt{14/3} = 2.16 \quad \text{standard deviation}$$

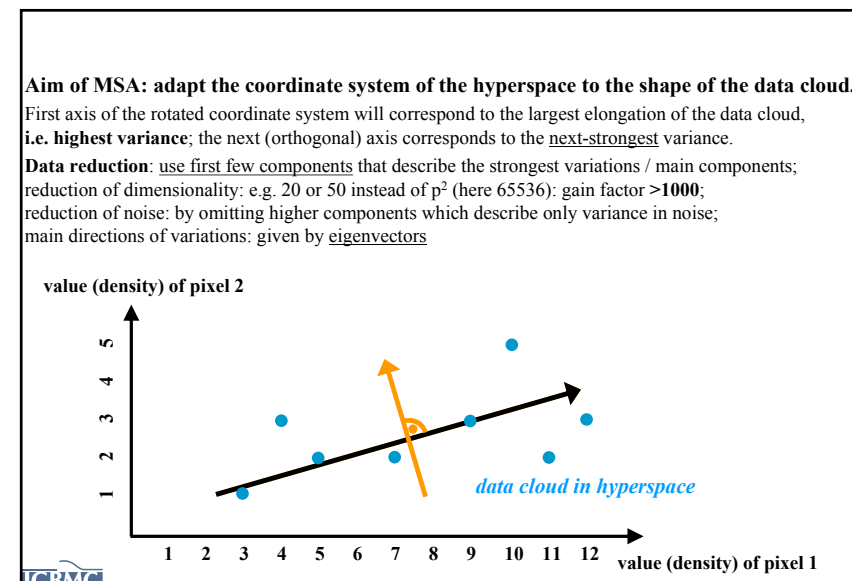
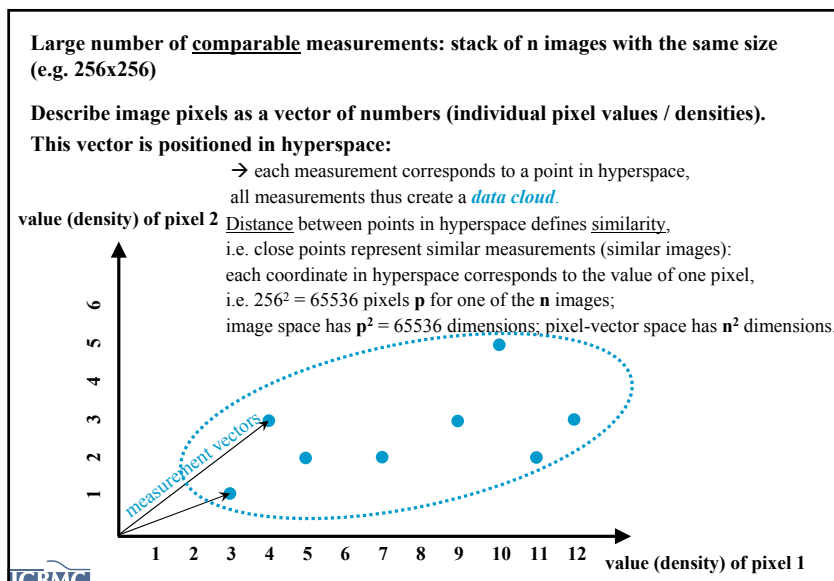
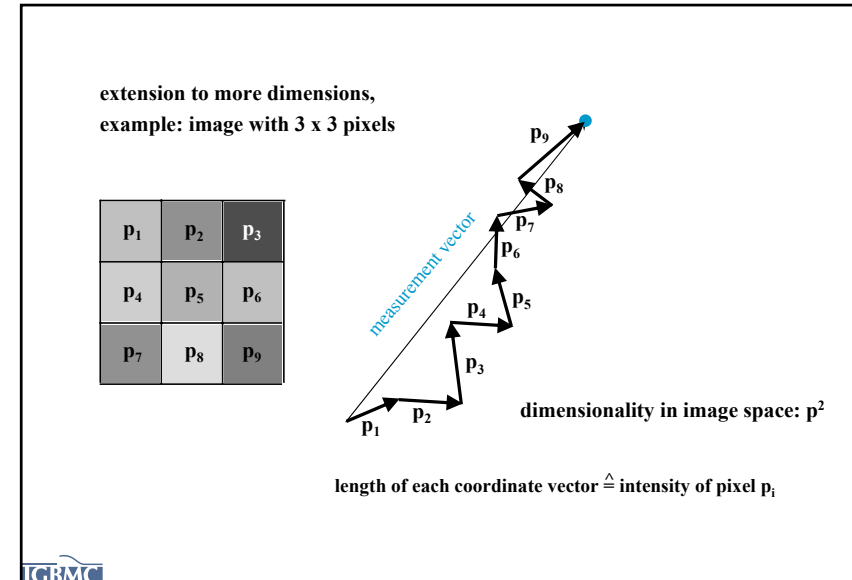
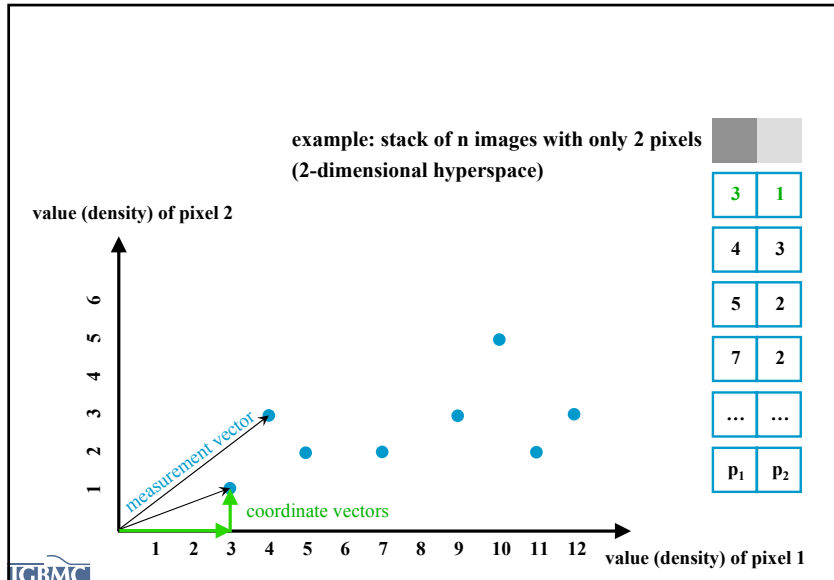
"how variable are the data"

"normalization"

Images are composed of pixels:




Maximum resolution = 2 x pixel size (**Nyquist frequency!**)



Similarity between two measurement vectors F and G:
 inner product of the vectors (= correlation = covariance):

$$C_{FG} = 1/p \sum F_a \cdot G_a \quad \text{covariance} \quad a = 1, p$$

$$C_{FF} = 1/p \sum F_a \cdot F_a = 1/p \sum F_a^2 \quad \text{variance} \quad a = 1, p$$

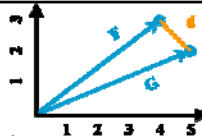
Euclidian square distance: *e.g. unit cube:*  $d = \sqrt{1^2+1^2+1^2} = \sqrt{3}$

$$D_{FG}^2 = \sum (F_a - G_a)^2$$

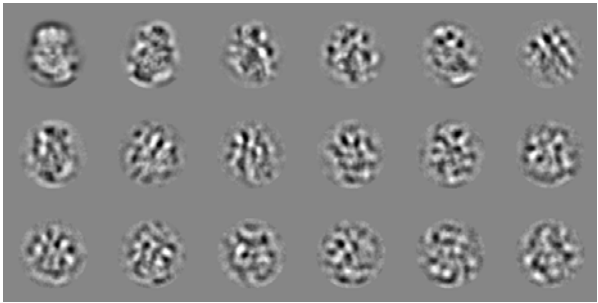
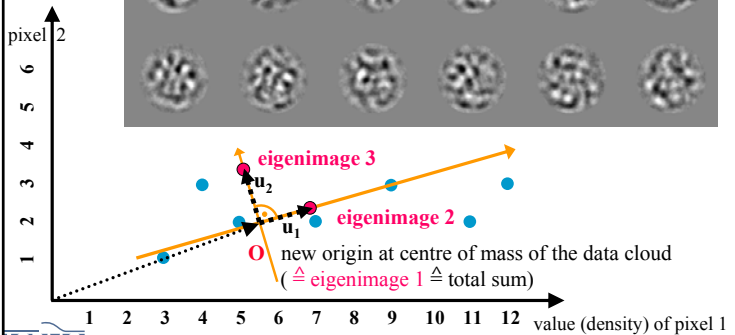
$$= \sum F_a^2 + \sum G_a^2 - 2 \sum F_a \cdot G_a \quad a = 1, p$$

(variances in F and G) minus (2 · correlation between F and G):
 short **distance** means high correlation

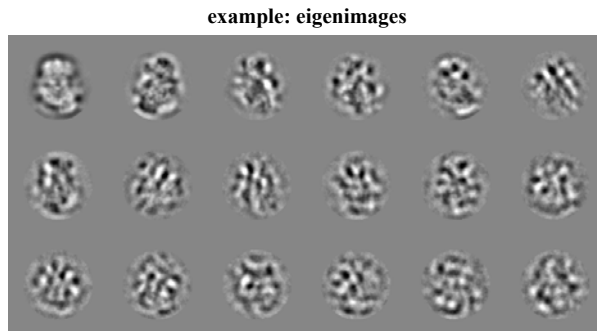
PCA metric (principal component analysis)
 [for comparison: discrete correlation function: $C_{FG} = \sum F_a \cdot G_{(a-x)}$, with shift x;
 cross-correlation coefficient $CCC = \sum F_a \cdot G_a / \text{sqr}(\sum F_a^2 \cdot \sum G_a^2)$]



example: eigenimages

new origin at centre of mass of the data cloud
 ($\hat{=}$ eigenimage 1 $\hat{=}$ total sum)



example: first 18 eigenimages of a data set

The entire data set can be reconstituted from a linear combination of the eigenimages,
 or simply approximated by a small subset of eigenimages (data reduction!)

$$"a \cdot u_1 + b \cdot u_2 + \dots"$$

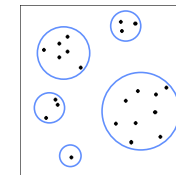
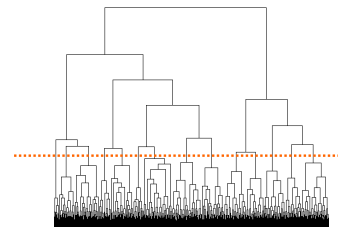
II. Structure determination

- MSA (multivariate statistical analysis) + classification

multivariate statistical analysis (MSA), related to principal component analysis:
 data set can be represented as a linear combination of images, each describing
 the highest differences within the data

→ data compression

→ images with statistically similar pixel intensity distribution can be grouped =
 classified into groups of images describing similar views of the 3D object



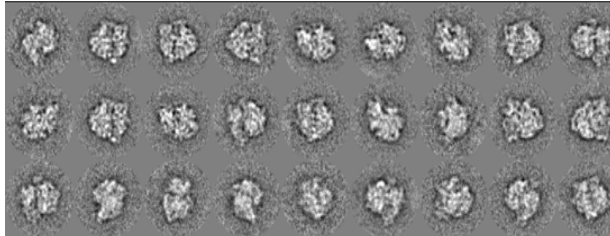
hierarchical ascendant classification

II. Structure determination

- MSA (multivariate statistical analysis) + classification

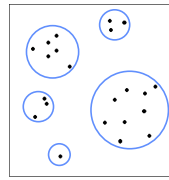
signal enhancement after

- classification by MSA
- hierarchical ascendant classification
- averaging of particles representing same views into class averages:



representative views of the 70S/RF2 complex; Klaholz et al., Nature 2003.

Typical class averages of ribosome particle images



Average the images
of each class



Some references

- L. Borland & M. van Heel; Classification of image data in conjugate representation spaces, *J. Optic. Soc. Am. A*, 7 (1990) 601-610.
- M. van Heel et al.; Single-particle cryo electron microscopy: towards atomic resolution; *Quart. Rev. Biophys.* 33 (2000) 307-369.
- M. van Heel, Multivariate Statistical Classification of Noisy Images (Randomly Oriented Biological Macromolecules) *Ultramicroscopy* 13 (1984) 165-183.
- M. van Heel, Classification of very large electron microscopical image data sets, *Optik* 82 (1989) 114-126.
- E.R. Malinowski, *Factor Analysis in Chemistry*, 3rd ed. (2002)
- Benzécri J.-P. , L'Analyse des Données Vol 2, L'analyse des correspondances (1973-1980) Dunod Paris.
- Frank J: Three-Dimensional Electron Microscopy of Macromolecular Assemblies, Oxford University Press (2006).
- van Heel M, Frank J: Use of multivariate statistics in analyzing the images of biological macromolecules, *Ultramicroscopy* 6 (1981) 187-194.
- van Heel M: Multivariate Statistical Classification of Noisy Images (Randomly Oriented Biological Macromolecules), *Ultramicroscopy* 13 (1984a,)165-183.
- Ward JH: Hierarchical grouping to optimize an objective function. *J. Amer. Statist. Assoc.* 58 (1982) 236-244.




Correct terms are important:

A classification is based on a statistical analysis:

- multivariate statistical analysis (MSA) provides information on variance (variability) which serves to merge similar images into class averages (classes); is independent of a reference
- classes **are NOT**: the sum of images that correlate best with a reference (through a multi-reference alignment)



What can we apply MSA to?

- 2D classification (reference-free alignment: only centered data, not rotationally aligned)
 - alignment by classification (alignment against class averages or a typical eigenimage)
 - analysis of symmetry (through symmetry in the eigenimages)
 - local MSA (focus on an area with high structural variability)
 - re-classification of class averages belonging to an object view
 - size-classification (e.g. White et al., *J. Mol. Biol.* 336 (2004) 453-460).
 - 3D classification of structures (separation of mixed particle populations):
 - particles:3D-SC, sub-tomograms
 - classification of powerspectra (sorting of defocus classes)
- Important to do before MSA:**
- normalisation
 - filtering
 - centered data (aligned if for structure refinement)
 - define MSA area: MSA mask 



Determining structures of multiple conformational states in a single sample



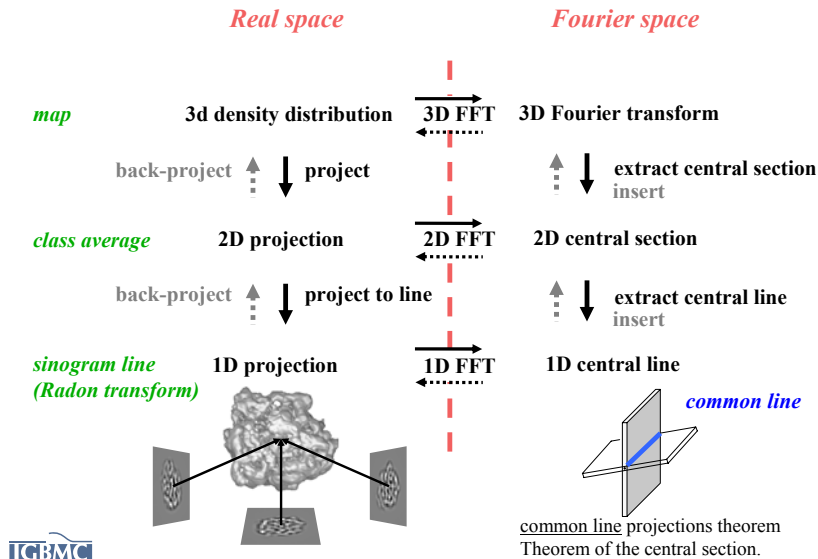
II. Structure determination

- angle assignment
 - angular reconstitution (in early stage of structure determination)
 - projection matching (if structure already well refined): find best correlation between input image and reference images from 3D re-projections)

3D reconstruction of single particles: **assumptions?**
 unique particle type in random orientations

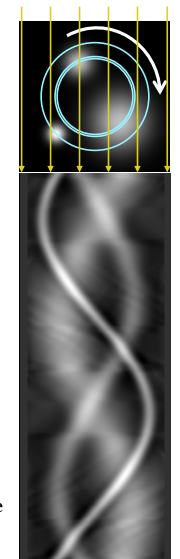
Reconstruction requires to have angles assign by:

- angular reconstitution (in early stage of structure determination), or
- projection matching (if structure already refined; reference-dependent; bias), or
- maximum likelihood



II. Structure determination

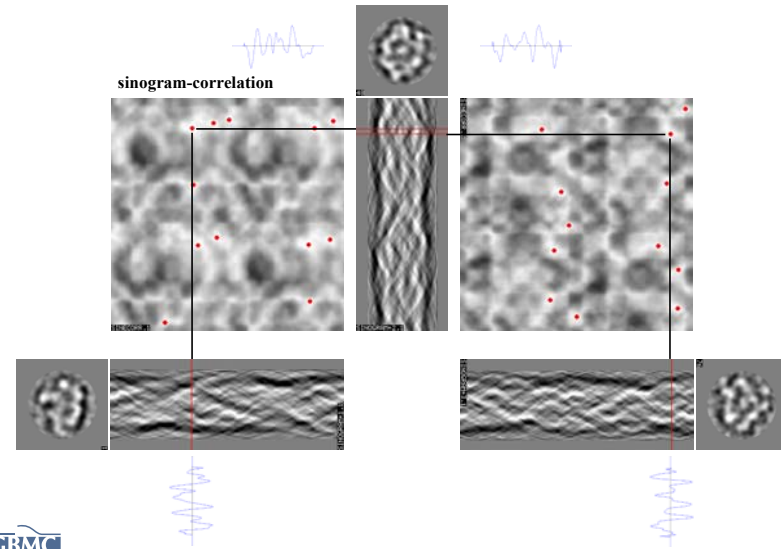
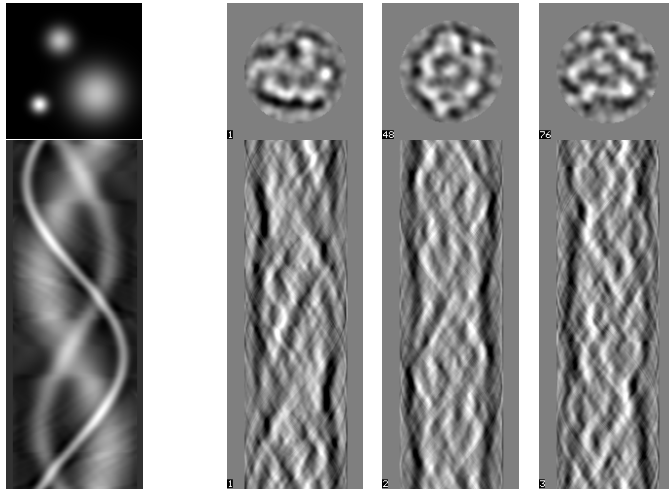
- angle assignment
- angular reconstitution



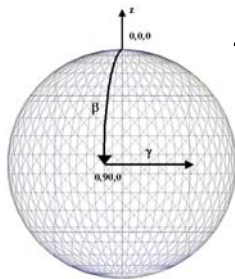
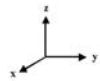
sinogram = line-projection of the 2D image
 (also called Radon transform)

amplitude-square-root filtered

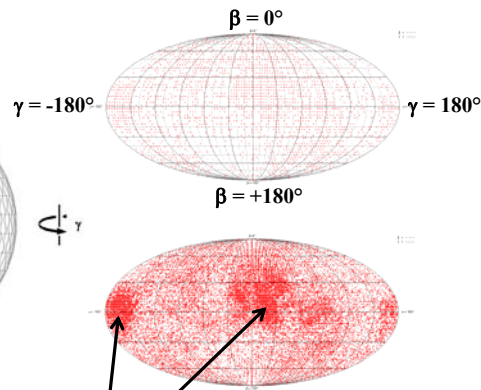
Select 3 clearly different views (here: class average numbers 1,48,76):



II. Structure determination
- angle assignment
- angular reconstitution



Particle angles plotted on sphere:



Preferential views

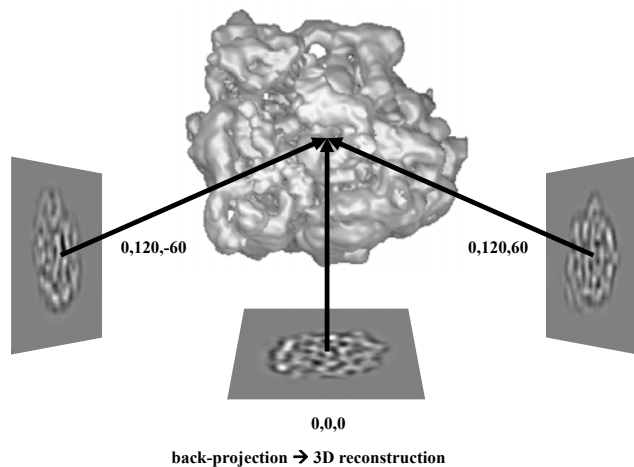
II. Structure determination
- angle assignment
- angular reconstitution

In case of *ab initio* structure determination by reference-free alignment and angular reconstitution:

Does not allow to determine handedness, requires either:

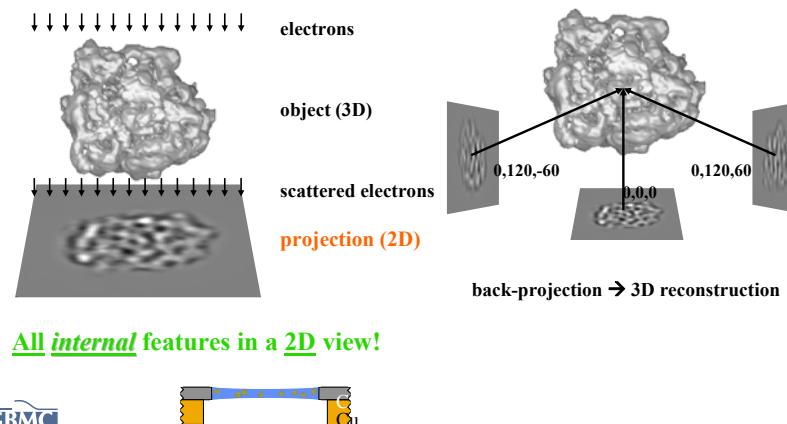
- random conical tilt (Radermacher *et al.*, J. Microsc. 1987)
- tomography
- phase residual error using a tilt pair (Rosenthal & Henderson, JMB 2003)
- fitting of crystal structures

II. Structure determination
- 3D reconstruction



II. Structure determination
- 3D reconstruction

Transmission electron microscopy



Some basic concepts of cryo-EM & 3D reconstruction

Correct terms are important (be precise and rigorous in science :-)

By cryo-EM, we obtain:

- a "3D reconstruction" (initial or refined)
- a "cryo-EM map" or "density map"
- a "structure"

technically:

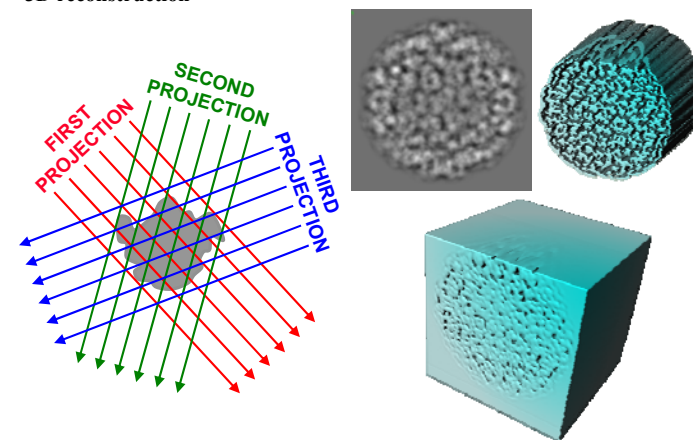
- back-projection
- angular reconstitution
- random conical tilt
- tilt series / tomogram

NOT:

- an "envelope" (would be SAXS or neg. stain. EM)
- a "volume", units would be \AA^3 (e.g. volume of a pocket, volume x density = mol. mass)
- a "surface", units would be \AA^2 (e.g. interaction surface between 2 proteins)
- a "model", would be a **molecular model fitted to the map** (crystallography/cryo-EM)
or a model *compatible with* SAXS data or NMR restraints;
other "models": "homology model", "hypothetical model", "working model"



II. Structure determination
- 3D reconstruction

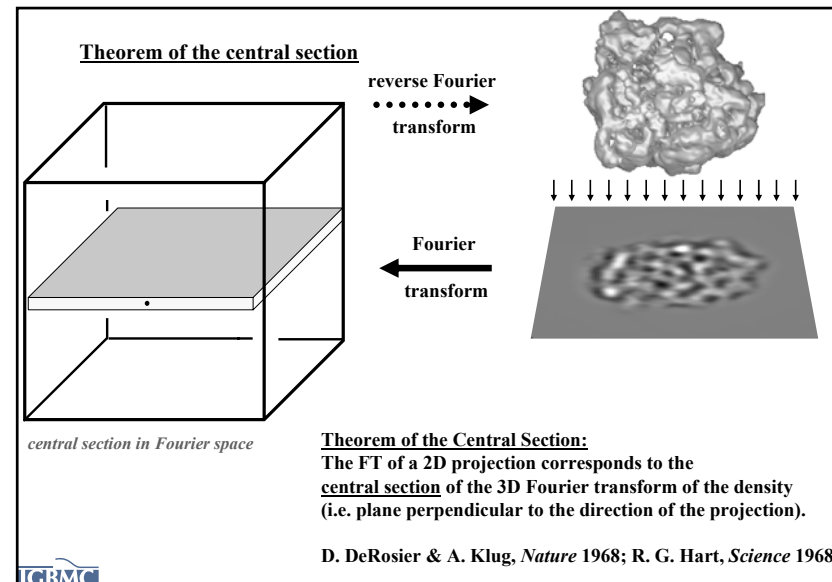


drawn by
I. Orlov

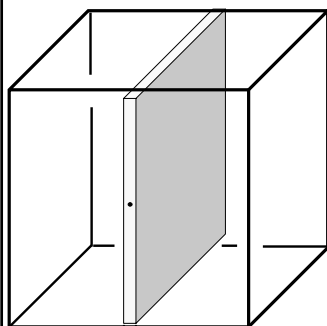
sun rays: projections



TCRMC



3D reconstruction by feeding in central sections in Fourier space

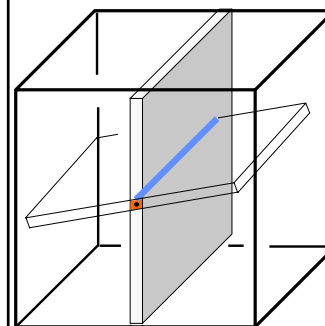


$1/D$, D particle size

(all representations in Fourier space)

TCRMC

3D reconstruction by feeding in central sections in Fourier space

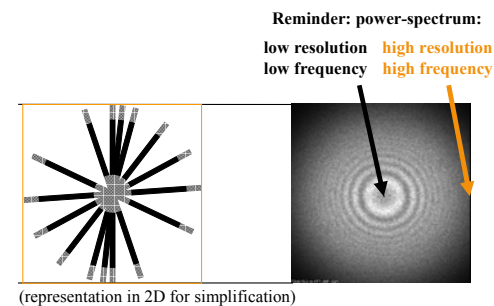


$1/D$

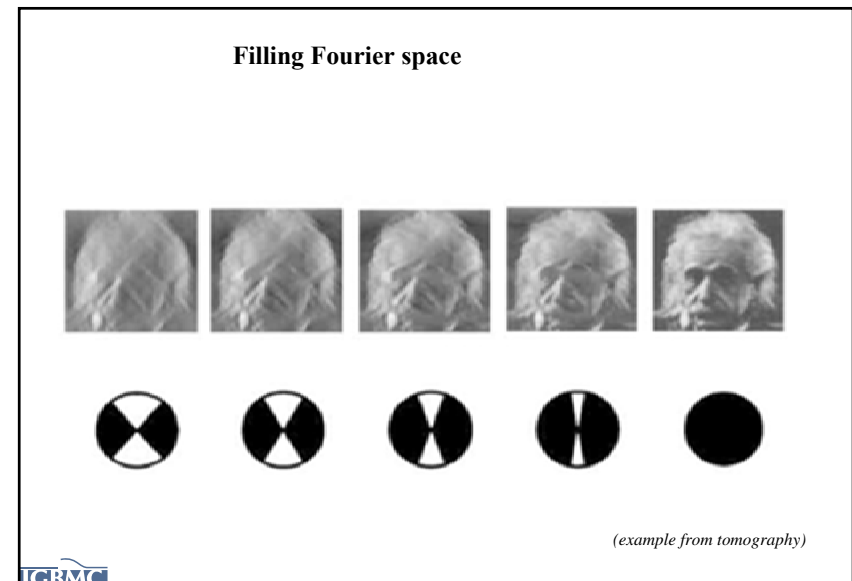
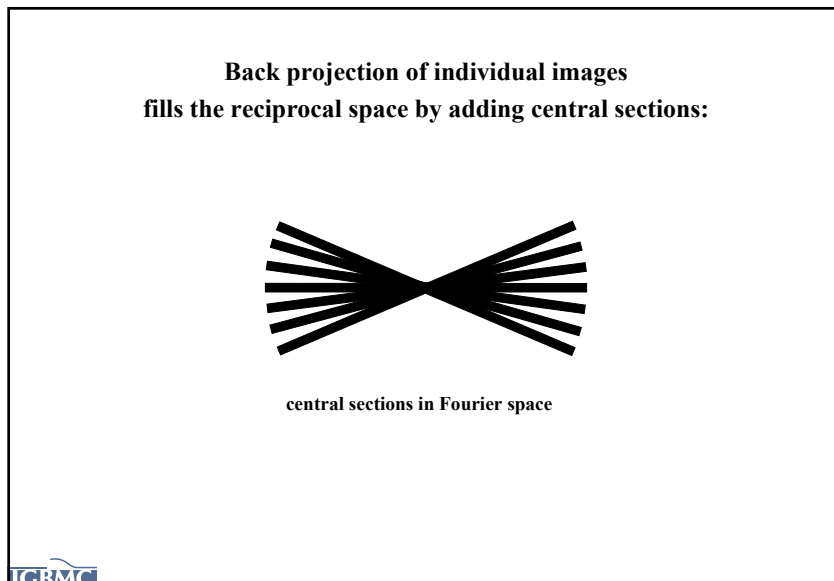
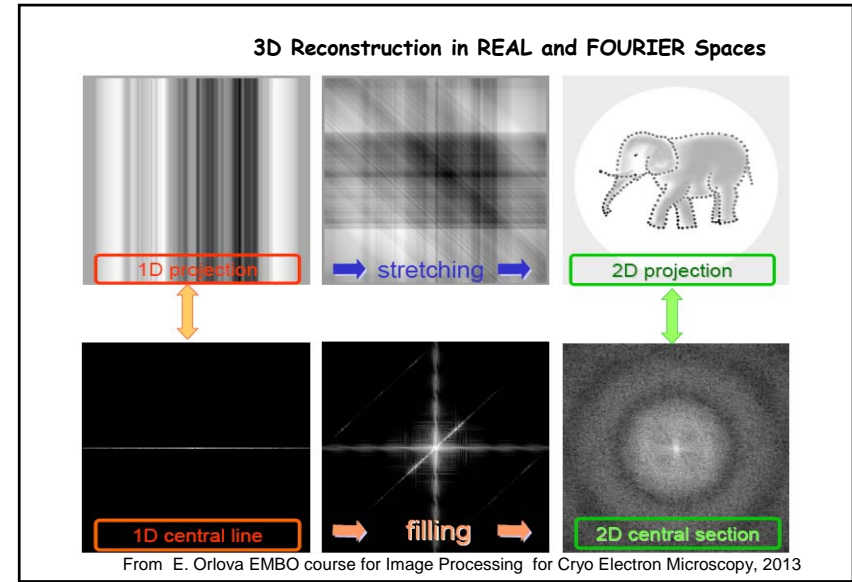
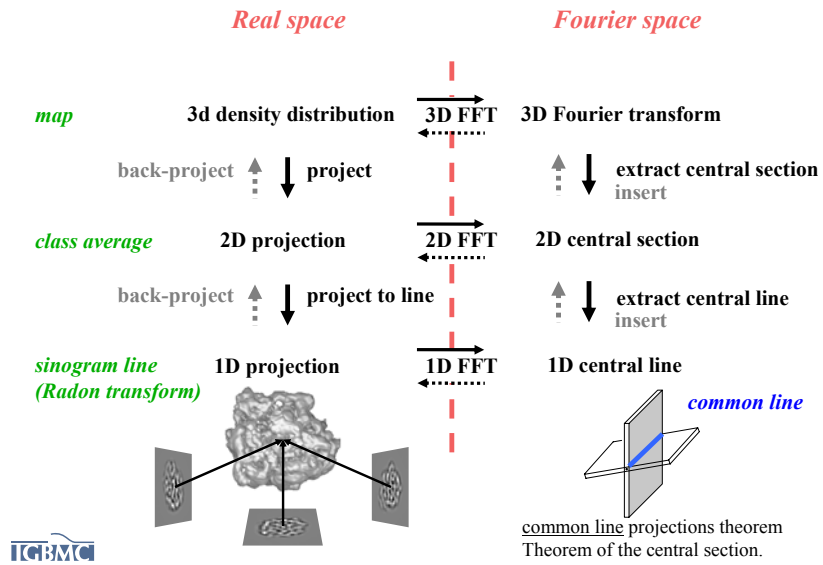
Concept of exact, weighted filtered back-projection (WBP) reconstruction:

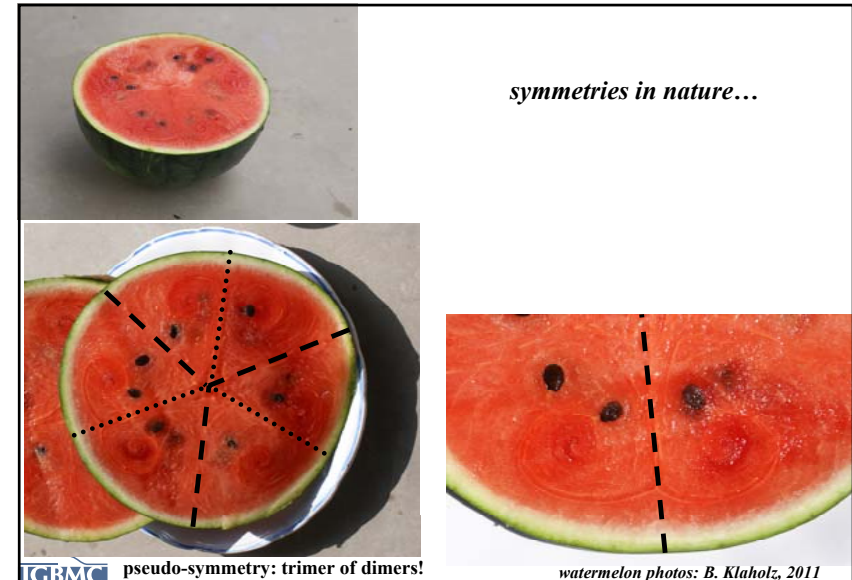
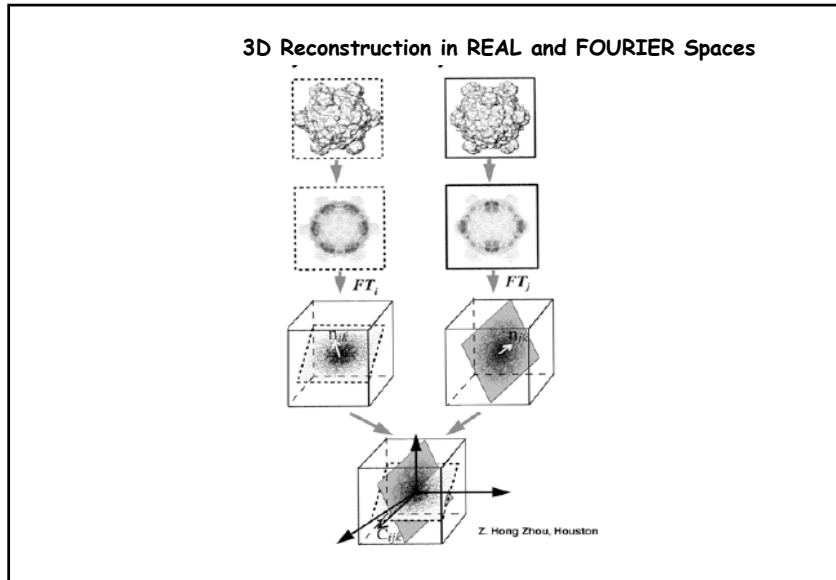
- back-projection
- filtered (partial removal of low frequencies to reduce overlap of central sections)
- weighting (down-weighting preferential orientations)

TCRMC



(Harauz & van Heel, 1986; Radermacher *et al.*, 1986)





Point group symmetries of biological objects (e.g. used in single particle reconstructions)

C_n	<p style="font-size: x-small;">80S ribosome, Khatter <i>et al.</i></p> <p style="font-size: x-small;">SPP1 bacteriophage Orlova <i>et al.</i>, EMBO J 2003.</p>	T	
D_n		O	
	<p style="font-size: x-small;">Chaperonine complex Zhang <i>et al.</i>, Structure 2011</p>	I	

<http://cai.chemie.tu-darmstadt.de>

Practical Example - Icosahedral Reconstruction

other example:

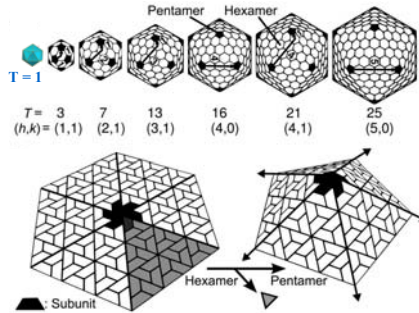
small asymmetric unit

Careful: imposition of inexplicit symmetry can happen...

Icosahedral Triangulation Number

The T-number is calculated by

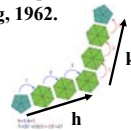
- (1) applying a grid to the surface of the virus with coordinates h and k ,
- (2) counting the number of steps between successive pentagons on the virus surface,
- (3) applying the formula: $T = h^2 + h \cdot k + k^2$ gives the number of structural units per face, = number of subunits in the asymmetric unit



always 12 pentamers,
but variable hexamer insertion

Multi-symmetric polyhedra:
Goldberg, Tôhoku Math., 1937.

Concept of quasi-equivalence:
Caspar & Klug, 1962.



http://viralzone.expasy.org/all_by_protein/1057.html

The two integers h and k describe the number of hexamers ($h+k-1$) one has to "walk over" to get from one pentamer to an adjacent pentamer.

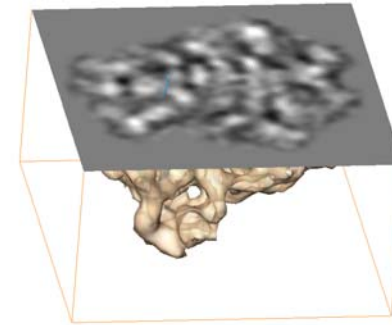
Johnson & Speir, *JMB* 1997.

Mannige & Brooks, *PLoS One*, 2010

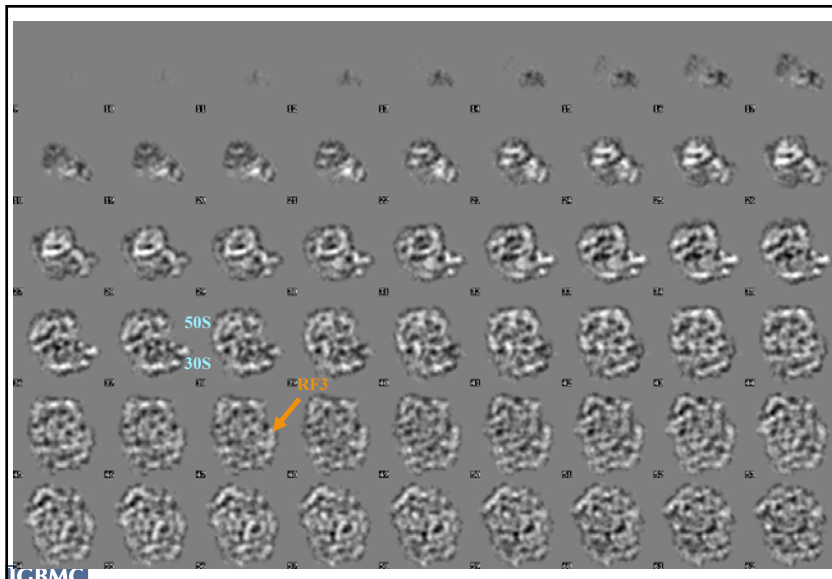
II. Structure determination

- 3D reconstruction

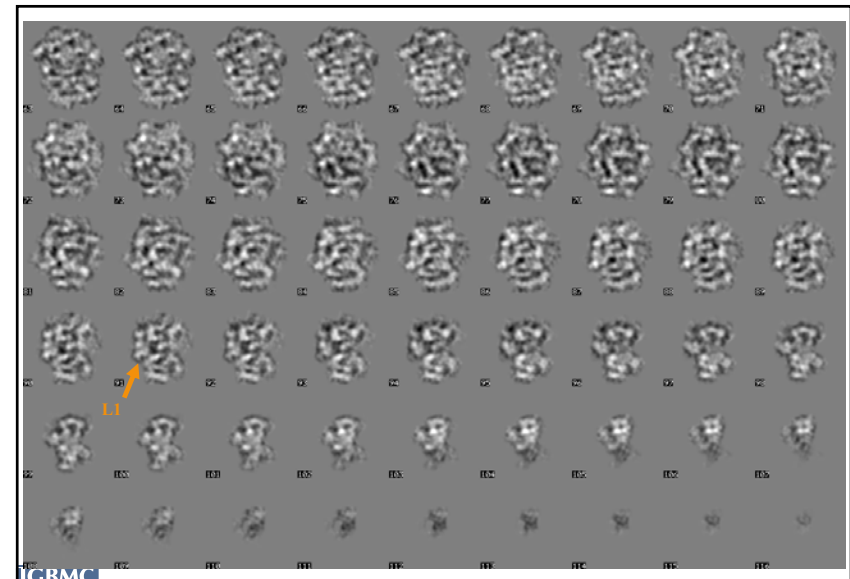
Representing 3D structures as consecutive sections through the 3D structure:



ICBMC



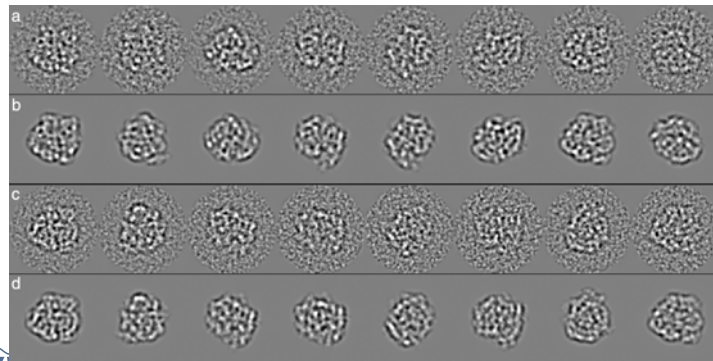
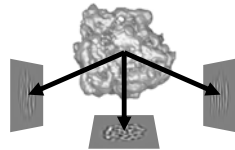
ICBMC



ICBMC

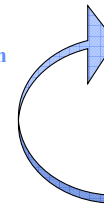
II. Structure determination
- 3D reconstruction

cross-validation of angle assignment and image quality by comparison with re-projections according to the same angles



II. Structure determination
- structure refinement

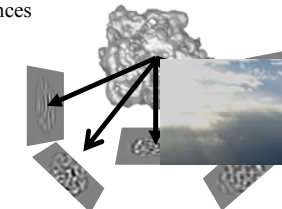
iteration



- centering/alignment
- variance analysis + classification
- angle assignment
- angular reconstitution → 3d-reconstruction
- re-projections = new references

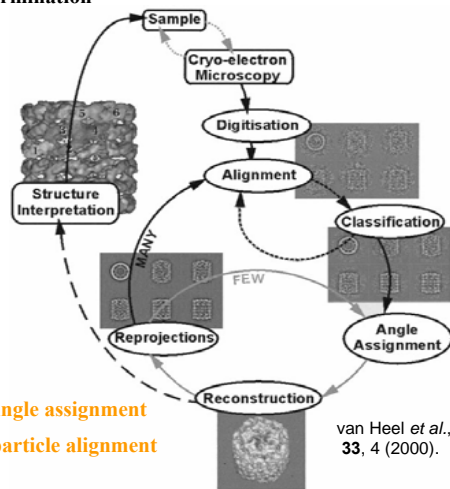
"phase" the particles by aligning/classifying them

- improve quality of angle assignment
- improve quality of particle alignment



equally distributed forward-projections (re-projections)

II. Structure determination
- overview

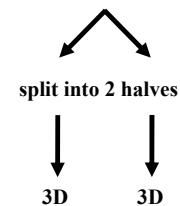


- improve quality of angle assignment
- improve quality of particle alignment

van Heel *et al.*, Quart. Rev. Biophys 33, 4 (2000).

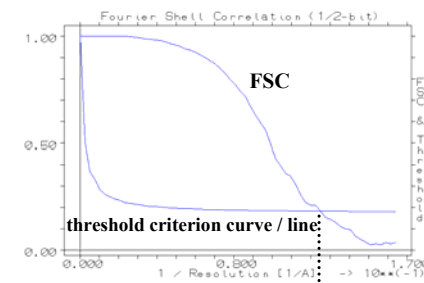
II. Structure determination
- resolution assessment

Particle data set



calculate cross-correlation by shells in Fourier space

Fourier Shell Correlation (FSC)



$$1 / 1.25 \times 10^{-10} \text{ \AA} = 8 \text{ \AA}$$

Keep in mind: resolution is what you can resolve in the 3D map!

Some basic concepts of cryo electron microscopy

Basic aspects:

- "resolution" corresponds to "spatial frequency" in image processing ($1/\text{\AA}$)
- **Nyquist frequency is = 2 x pixel size**, e.g. $1 \text{\AA} / \text{pixel} \rightarrow \text{Nyquist} = 2 \text{\AA}$
- interpolations during 2D image alignment and 3D reconstruction limit the possible resolution to about 2/3 of the Nyquist frequency, i.e. here $\sim 3 \text{\AA}$ (exception: super-reso)
pixels in 3D: "voxel"

Consider:

- any correlation calculation (e.g. alignment) is **biased** by the reference used
- resolution estimation, criteria used:
 - 0.5, arbitrary, historically from the virus field, tends to underestimate resolution
 - 0.143 (Henderson) and $\frac{1}{2}$ bit (van Heel)
 - 3σ , not used anymore (over-estimation)
 - features in the map: can we see dsRNA helices ($\sim 10\text{-}12 \text{\AA}$ resolution), α -helices ($\sim 8 \text{\AA}$), β -sheets ($\sim 5 \text{\AA}$) or side chains ($4\text{-}2.5 \text{\AA}$ depending on size)?



II. Structure determination

- map interpretation



II. Structure determination

- map interpretation ; fitting of crystal or NMR structures

Fitting procedures:

- manual fitting (e.g. O. A. Jones, *Acta Cryst.* (1991))
- real space fitting
- reciprocal space fitting

1) global search

2) refinement

e.g. torsion-angle molecular dynamics

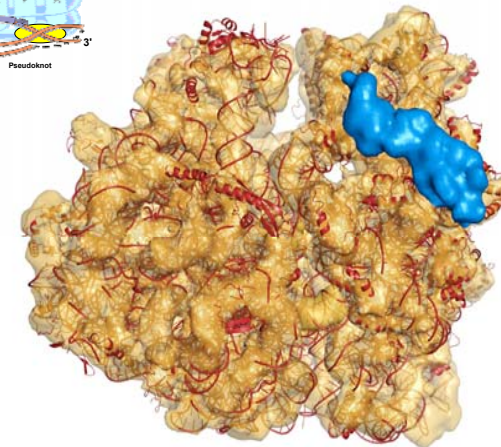
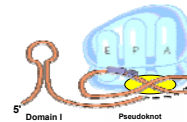
- fit complete structures, domains, factors;

Usually backbone is enough.

rigid body or flexible fitting

- use full maps or difference maps

Be careful with local minima and over-fitting!



$\sim 12 \text{\AA}$ resolution

Marzi *et al.*, *Cell* 2007.

50S

30S

Fitting of 70S crystal structure from *E. coli* (Schuwirth *et al.*, 2005; *Science*, 310, 827-834)

II. Structure determination
- map interpretation

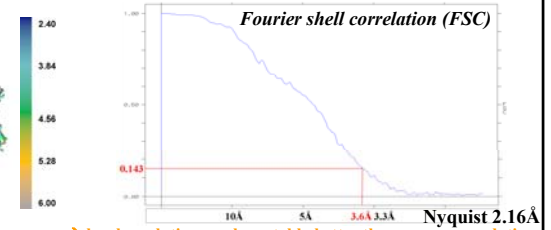
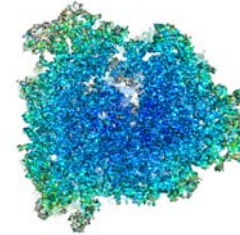


Orlov *et al.*, *EMBO J.* 2012.

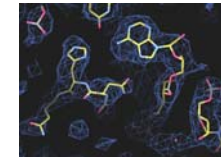
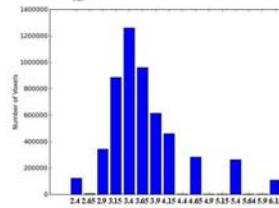


Atomic structure of the human ribosome

Structure determination at $< 3 \text{ \AA}$ resolution by single particle cryo-EM



→ local resolution can be notably better than average resolution



cryo-EM
map

Khatter *et al.*, *Nature*, 2015.

Some softwares:
(for single particle cryo-EM image processing)

- Imagic
- Spider
- FreAlign
- EMAN
- XMIPP
- Relion
- Scipion
- ...

Plus other specific software for helical reconstructions, viruses etc.